# ORBIT EQUIVALENCE RIGIDITY AND BOUNDED COHOMOLOGY

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#### 1. Introduction

In this paper, a companion to [MS2], we continue our attempts to widen the scope of rigidity theory, using new techniques made available by the bounded cohomology methods recently developed by Burger and Monod [BM2],[M]. In the present paper, we focus our attention on rigidity of measurable orbit equivalence, an area which has seen remarkable achievements by R. Zimmer during the 80's, and in the last few years has flourished again with the striking work of A. Furman [F1],[F2],[F3] and D. Gaboriau [Ga1],[Ga2],[Ga3]. Our main purpose is to establish new rigidity phenomena, some reminiscent of those known in the case of higher rank lattices, for a large (uncountable) class of groups arising geometrically in the general framework of "negative curvature":

EXAMPLES 1.1. Consider the collection of all countable groups  $\Gamma$  which admit either: (i) A non-elementary simplicial action on some simplicial tree, proper on the set of edges; or (ii) A non-elementary proper isometric action on some proper CAT(-1) space; or (iii) A non-elementary proper isometric action on some Gromov-hyperbolic graph of bounded valency.

Non-Abelian free groups are outstanding examples of groups in this class; indeed, the main rigidity results below are already interesting in that case. Notice that since any non-trivial free product of two countable groups is in the list above

(unless they are finite of order 2), this class is uncountable; it also contains the uncountable class of non-elementary subgroups of Gromov-hyperbolic groups. In particular, this collection of groups includes the fundamental group of any closed manifold of negative sectional curvature.

The Examples 1.1 are given as a matter of convenience to make this introduction more concrete; it is in fact only a certain cohomological property of these groups which plays a role in our approach. Indeed, we introduce the following:

NOTATION 1.2. Denote by  $\mathcal{C}_{reg}$  the class of countable groups  $\Gamma$  with  $H_b^2(\Gamma, \ell^2(\Gamma)) \neq 0$ .

This definition refers to the bounded cohomology of  $\Gamma$  with coefficients in the regular representation; see Sections 3 and 7 for the relevant background. When stating our results in Section 2 in full generality, we use a possibly larger class  $\mathcal{C}$ . For the time being, however, suffice it to indicate that indeed  $\mathcal{C}_{reg}$  is strongly related to the geometric notion of negative curvature, as the following indicates:

Theorem 1.3. All the groups of Examples 1.1 belong to  $C_{reg}$ .

This statement can be seen as a cohomological property of negative curvature and relies on the results of [MS2] complemented with [MMS]. However, we shall offer in Section 7.2 a short independent proof that many examples, including free groups, belong to the class  $C_{reg}$ .

Before recalling the notion of measurable orbit equivalence, let us fix the following convention: For a discrete group  $\Gamma$  we say that a standard measure space  $(X, \mu)$  is a probability  $\Gamma$ -space if  $\mu(X) = 1$  and  $\Gamma$  acts measurably on X, preserving  $\mu$ . In this paper, all such actions are assumed **essentially free**, i.e. the stabiliser of almost every point is trivial.

DEFINITION 1.4. Let  $\Gamma$  and  $\Lambda$  be countable groups and  $(X, \mu)$ ,  $(Y, \nu)$  be probability  $\Gamma$ - and  $\Lambda$ -spaces respectively. A measurable isomorphism  $F: X \to Y$  is said to be an *Orbit Equivalence* of the actions if for a.e.  $x \in X$ :  $F(\Gamma x) = \Lambda F(x)$ , i.e., if F takes almost every  $\Gamma$ -orbit bijectively onto a  $\Lambda$ -orbit. In that case, the two actions are called *Orbit Equivalent (OE)*, and we say that a (possibly different) isomorphism  $\widetilde{F}: X \to Y$  induces this orbit equivalence if  $\widetilde{F}(\Gamma x) = F(\Gamma x)$  for a.e.  $x \in X$ .

The starting point of orbit equivalence rigidity theory lies in the remarkable lack-of-rigidity phenomenon established by Ornstein-Weiss [OW] (generalised by Connes-Feldman-Weiss [CFW]), following H. Dye [Dy], for the class of amenable groups: Any two ergodic probability measure preserving actions of countable amenable groups are OE. (Shortly we shall mention another different motivation for OE rigidity theory, related to geometric group theory.) To put our main results in a better perspective, we observe first that this absence of rigidity can be extended also to some non-amenable groups (see Theorem 2.27):

Any given probability measure preserving action of a countable free group is orbit equivalent to actions of uncountably many different groups.

Of course, a similar lack of rigidity follows for product actions of products of free groups. The main point of several of our results is this: For such product groups, a surprisingly rigid behaviour occurs if we rule out product actions by the following ergodicity property.

DEFINITION 1.5. Let  $\Gamma = \Gamma_1 \times \Gamma_2$  be a product of countable groups. A  $\Gamma$ -space  $(X, \mu)$  is called *irreducible* if both  $\Gamma_i$  act ergodically on X.

For clarity of the exposition we shall formulate here some of our main results for two factors only and in partial generality; Section 2.2 contains the general statements.

Observe that irreducibility depends on the given product structure on  $\Gamma$ , rather than on  $\Gamma$  alone. Among the many natural examples of irreducible actions, we mention here those we shall make explicit use of: Bernoulli actions (see below), products of unbounded real linear groups acting on homogeneous spaces (see Section 2.5 below), and left-right multiplication actions of products of groups which are both embedded densely in one compact group (see the proof of Theorem 1.14 below).

THEOREM 1.6. (OE Strong Rigidity – Products) Let  $\Gamma_1$ ,  $\Gamma_2$  be torsion-free groups in  $C_{reg}$ ,  $\Gamma = \Gamma_1 \times \Gamma_2$ , and let  $(X, \mu)$  be an irreducible probability  $\Gamma$ -space. Let  $(Y, \nu)$  be any other probability  $\Gamma$ -space (not necessarily irreducible). If the  $\Gamma$ -actions on X and Y are OE, then they are isomorphic with respect to an automorphism of  $\Gamma$ . More precisely, there is  $f \in Aut(\Gamma)$  such that the orbit equivalence is induced by a Borel isomorphism  $F: X \to Y$  with  $F(\gamma x) = f(\gamma)F(x)$  for all  $\gamma \in \Gamma$  and a.e. x.

Notice that composing an action with a group automorphism yields an orbit equivalent action, but in general one which is not isomorphic. Unlike the case of higher rank lattices, for some groups covered by the theorem (such as products of free groups), there is an abundance of such automorphisms which should be "detected". As observed in Section 2.2 below, Theorem 1.6 is not valid in general if the groups are not in the class  $\mathcal{C}_{reg}$ .

Using Theorem 1.6 we are able to produce the first examples of finitely generated groups outside the distinguished family of higher rank lattices in semi-simple Lie groups, possessing infinitely many non-orbit equivalent actions (see also the "exotic" infinitely generated groups in [BG]). In fact we show more:

THEOREM 1.7. (Many Groups with Many Actions) There exists a continuum  $2^{\aleph_0}$  of finitely generated torsion-free groups, each admitting a continuum of measure preserving free actions on standard probability spaces, such that no two actions in this whole collection are orbit equivalent.

We remark that although we are able to include products of (non-Abelian) free groups in this family, it is still an open problem to produce infinitely many mutually non-orbit equivalent actions of one free group.

(Added in proof: D. Gaboriau and S. Popa have since obtained a continuum of non-OE actions of a free group [GP], while G. Hjorth established that all infinite Kazhdan groups share this property [Hj].)

To proceed one step further, we recall the following notion:

DEFINITION 1.8. A measure preserving action of a group  $\Lambda$  on a measure space  $(Y, \nu)$  is called *mildly mixing* if there are no non-trivial recurrent sets, i.e., if for any measurable  $A \subseteq X$  and any sequence  $\lambda_i \to \infty$  in  $\Lambda$ , one has  $\nu(\lambda_i A \triangle A) \to 0$  only when A is null or co-null.

Here is now a *superrigidity*-type result:

THEOREM 1.9. (OE Superrigidity For Products – Torsion Free Case) Let  $\Gamma = \Gamma_1 \times \Gamma_2$  and  $(X, \mu)$  be as in Theorem 1.6. Let  $\Lambda$  be any torsion-free countable group and let  $(Y, \nu)$  be any mildly mixing probability  $\Lambda$ -space.

If the  $\Gamma$ - and  $\Lambda$ -actions are OE then  $\Lambda$  is isomorphic to  $\Gamma$ , and the actions on X, Y are isomorphic (with respect to an isomorphism  $\Gamma \cong \Lambda$ ).

Actually we prove a more general statement, dropping the torsion-freeness assumption on  $\Lambda$ , thereby allowing "commensurable situations". We state here the following result, which is generalised further in Section 2:

THEOREM 1.10. (OE Superrigidity – Products) Let  $\Gamma = \Gamma_1 \times \Gamma_2$  and  $(X, \mu)$  be as in Theorem 1.6. Let  $\Lambda$  be any countable group and let  $(Y, \nu)$  be any mildly mixing probability  $\Lambda$ -space.

If the  $\Gamma$ - and  $\Lambda$ -actions are OE then both the groups  $\Gamma$  and  $\Lambda$ , as well as the actions, are commensurable. More precisely:

(i) There exists a finite index subgroup  $\Gamma_0 < \Gamma$ , whose projection to both factors  $\Gamma_i$  are onto, a finite normal subgroup  $N \lhd \Lambda$  with  $|N| = [\Gamma : \Gamma_0]$ , and a short exact sequence

$$1 \to N \to \Lambda \to \Gamma_0 \to 1$$

such that:

(ii) The  $\Gamma$ -action induced from the  $\Lambda/N \cong \Gamma_0$ -action on  $(N \setminus Y, \nu)$  is **isomorphic** to its action on  $(X, \mu)$  (with respect to an automorphism of  $\Gamma$ ).

In particular, if either the  $\Gamma$ -action on X is aperiodic (i.e., remains ergodic under any finite index subgroup), or  $\Lambda$  is torsion-free, then  $\Lambda$  is isomorphic to  $\Gamma$  and the actions on X,Y are isomorphic (with respect to an isomorphism  $\Gamma \cong \Lambda$ ).

This theorem is optimal in the sense that any  $\Lambda$  satisfying (i) above admits an action which is OE to an irreducible action of  $\Gamma$ . A crucial ingredient in the proof of this theorem is a remarkable idea of A. Furman from [F1] in the framework of simple

Lie groups, which we adapt here for our purposes. In Example 2.22 below we show by means of a counter-example why the mild mixing condition is natural in our context, and how Theorem 1.10 may fail for actions which are weakly mixing, and "close to being" mildly mixing. Of course, the simplest examples of mildly mixing actions are (strongly) mixing actions, and those exist for any group, as in the following standard construction: For a countable group  $\Gamma$  and any probability distribution  $\mu$  (different from Dirac) on the interval [0,1], call the natural shift  $\Gamma$ -action on the product space ( $[0,1]^{\Gamma}$ ,  $\mu^{\Gamma}$ ) a **Bernoulli**  $\Gamma$ -action. Any such action can easily be seen to be mixing, and this takes care at the same time of irreducibility and aperiodicity. We therefore have:

COROLLARY 1.11. Let  $\Gamma = \Gamma_1 \times \Gamma_2$  where each  $\Gamma_i$  is a torsion-free group in  $\mathcal{C}_{reg}$ . If a Bernoulli  $\Gamma$ -action is orbit equivalent to a Bernoulli  $\Lambda$ -action for some **arbitrary** group  $\Lambda$ , then  $\Gamma$  and  $\Lambda$  are isomorphic and with respect to some isomorphism  $\Gamma \cong \Lambda$  the actions are isomorphic by a Borel isomorphism which induces the given orbit equivalence.

As shown by the result of Ornstein and Weiss cited above, amenable groups share a sharp lack of rigidity in the measurable orbit equivalence theory. Our next two results are analogous to two of the theorems above, only that here we replace the setting of products by one involving amenable radicals. We show a similar rigid behaviour modulo the intrinsic lack of rigidity caused by the presence of such radicals.

THEOREM 1.12. (OE Strong Rigidity – Radicals) Let  $\Gamma$  be a group and  $M \triangleleft \Gamma$  a normal amenable subgroup such that the quotient  $\bar{\Gamma} = \Gamma/M$  is torsion-free and in  $C_{\text{reg}}$ . Let  $(X, \mu)$ ,  $(Y, \nu)$  be probability  $\Gamma$ -spaces on which M acts ergodically. If the two  $\Gamma$ -actions are OE then there is a Borel isomorphism  $F: X \to Y$  such that for all  $\gamma \in \Gamma$  and a.e.  $x \in X$ :  $F(\gamma Mx) = f(\bar{\gamma})MF(x)$ , where  $\bar{\gamma} = \gamma M$  and f is some automorphism of  $\bar{\Gamma}$ .

Here is the superrigidity-type version:

THEOREM 1.13. (OE Superrigidity – Radicals) Let  $\Gamma$  and  $(X, \mu)$  be as in Theorem 1.12. Let  $\Lambda$  be any countable group and let  $(Y, \nu)$  be any mildly mixing probability  $\Lambda$ -space.

If the  $\Gamma$ - and  $\Lambda$ -actions are OE then there exists an infinite normal amenable subgroup  $N \lhd \Lambda$  such that  $\Lambda/N$  is isomorphic to  $\Gamma/M$ . Moreover, there is an isomorphism  $f: \Gamma/M \to \Lambda/N$  such that the OE is induced by a Borel isomorphism  $F: X \to Y$  satisfying  $F(\gamma Mx) = f(\bar{\gamma})NF(x)$ .

In a different direction, we can apply Theorem 1.6 to study countable ergodic relations of type II<sub>1</sub>. We first recall some terminology (see also [F2],[F3]).

Let  $\Gamma$  be a countable group and  $(X, \mu)$  be an ergodic probability  $\Gamma$ -space. Let  $\mathcal{R} = \mathcal{R}_{\Gamma,X} \subseteq X \times X$  denote the (type  $\mathrm{II}_1$ ) equivalence relation on X defined by that

action, i.e.  $(x,y) \in \mathcal{R}$  iff  $\Gamma x = \Gamma y$ . Two such relations are isomorphic iff the two actions are OE. Further, the group of automorphisms  $\operatorname{Aut}(\mathcal{R})$  of the relation  $\mathcal{R}$  is the group of measure preserving isomorphisms  $F: X \to X$  such that  $F(\Gamma x) = \Gamma F(x)$  for a.e.  $x \in X$ . Moreover, one defines the inner and outer automorphism groups by

$$\operatorname{Inn}(\mathfrak{R}) = \{ F \in \operatorname{Aut}(\mathfrak{R}) : F(x) \in \Gamma x \mid \mu-\text{a.e.} \}, \quad \operatorname{Out}(\mathfrak{R}) = \operatorname{Aut}(\mathfrak{R}) / \operatorname{Inn}(\mathfrak{R}).$$

While  $\operatorname{Inn}(\mathcal{R})$  (the so-called full group) is always very large (e.g. it acts essentially transitively on the collection of all measurable subsets of a given measure), it is of interest to find relations – or group actions – for which  $\operatorname{Out}(\mathcal{R})$  is small, or even trivial. The first construction of some  $\mathcal{R}_{\Gamma,X}$  with trivial outer automorphism group is due to S. Gefter [Ge1],[Ge2]. Recently A. Furman [F3] has produced more examples within a comprehensive study of the problem in the setting of higher rank lattices (these are used, along with Zimmer's cocycle superrigidity, by both authors). Furman constructs a continuum of mutually non-isomorphic type  $\operatorname{II}_1$  relations with trivial outer automorphism group which are all weakly isomorphic (see (i) in Definition 2.1 below), being obtained by restricting one fixed relation  $\mathcal{R}_{\Gamma,X}$  to subsets of different measure. We show the following:

THEOREM 1.14. (Many Relations with Trivial Out) There exists a continuum of mutually non weakly isomorphic relations of type  $II_1$  with trivial outer automorphism group.

As mentioned earlier, the study of orbit equivalence can be motivated also from an entirely different point of view, being a measurable counterpart to geometric (or quasi-isometric) equivalence of groups. This analogy, as well as the following notion, were suggested by M. Gromov [Gr, 0.5.E]:

DEFINITION 1.15. Two countable groups  $\Gamma$ ,  $\Lambda$  are called *Measure Equivalent* (ME) if there is a standard (infinite-) measure space  $(\Sigma, m)$  with commuting measure preserving  $\Gamma$ - and  $\Lambda$ -actions, such that each one of the actions admits a finite measure fundamental domain. (In particular, both actions are free, even though not necessarily the product action – see also Remark 2.14 below.) The space  $(\Sigma, m)$  endowed with these actions is called a *ME coupling* of  $\Gamma$  and  $\Lambda$ .

The analogy with geometric group theory can be seen as follows: Replacing in Definition 1.15  $\Sigma$  by a locally compact space on which  $\Gamma$  and  $\Lambda$  act properly, continuously and co-compactly, in a commuting way, results in a notion strictly equivalent to  $\Gamma$  being quasi-isometric to  $\Lambda$ , see [Gr, 0.2.C].

On the other side, ME relates back to OE because of the following fact, observed by Zimmer and Furman (see Section 2.1 below): For two discrete groups  $\Gamma$  and  $\Lambda$ , admitting some OE actions is equivalent to having an ME coupling where the two groups have the same co-volume. (The case of arbitrary co-volumes corresponds to weak orbit equivalence which we actually cover in all of our results, but preferred

not to discuss in the introduction – see Section 2 below.) Thus, results concerning orbit and measure equivalence can be transformed one to the other (a fact we shall take advantage of, following Furman's approach), and may both come under the title "measurable group theory" – a counterpart to geometric group theory.

THEOREM 1.16. (ME Rigidity – Factors) Let  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$  and  $\Lambda = \Lambda_1 \times \cdots \times \Lambda_{n'}$  be products of torsion-free countable groups. Assume that all the  $\Gamma_i$ 's are in  $C_{reg}$ . If  $\Gamma$  is ME to  $\Lambda$ , then  $n \geq n'$ , and if equality holds then after permutation of the indices  $\Gamma_i$  is ME to  $\Lambda_i$  for all i.

This may be viewed as a far reaching extension of the phenomena established by R. Zimmer [Z1] and S. Adams [A1] to the effect that the orbit relation generated by "negatively curved" groups is not a product relation. Illustrating the analogy with geometric group theory, we point out that the arguments of Eskin-Farb [EF1],[EF2] or Kleiner-Leeb [KL] can be used to show that if two products of non-elementary hyperbolic groups are quasi-isometric, then so are the factors (after permuting indices).

For amenable radicals we have the following analogue:

THEOREM 1.17. (ME Rigidity – Quotients by Radicals) Let  $\Gamma$ ,  $\Lambda$  be countable groups and let  $M \lhd \Gamma$ ,  $N \lhd \Lambda$  be amenable normal subgroups such that  $\bar{\Gamma} = \Gamma/M$  and  $\bar{\Lambda} = \Lambda/N$  are in  $C_{reg}$  and torsion-free.

If  $\Gamma$  is ME to  $\Lambda$ , then  $\bar{\Gamma}$  is ME to  $\bar{\Lambda}$ .

As mentioned earlier, our new approach to orbit equivalence rigidity uses notably the new approach to bounded cohomology recently developed by Burger-Monod [BM2],[M]. The latter provides both results as well as "working tools" which turn out to be very effective in the setting of measurable orbit equivalence. Aiming the paper at the broader audience interested in orbit equivalence rigidity, we shall assume here no prior familiarity with bounded cohomology, and present in Section 3 below a friendly and brief introduction to this theory, including the main results that we need from Burger-Monod's work. Suffice it to say at this point that (second) bounded cohomology is defined similarly to usual (second) group cohomology, but using bounded cochains. As a by-product of our proofs, we get some new cohomological invariants of measure equivalence, and consequently some additional "softer" rigidity results, as in the following (see Corollary 7.6):

Theorem 1.18. The vanishing of the second bounded cohomology with coefficients in the regular representation is a ME invariant.

COROLLARY 1.19. A countable group containing an infinite normal amenable subgroup is not ME to any group in  $C_{reg}$ .

It follows for instance that such a group cannot be ME to any (non-elementary) Gromov-hyperbolic group; the latter statement was established for the particular case of infinite *center* by S. Adams [A2].

**Related results.** In the framework of reducibility of Borel relations, G. Hjorth and A. Kechris [HK] established rigidity results for certain types of products in independent work carried out at about the same time.

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## 2. Discussion and Applications of the Main Results

2.1. Weak Orbit Equivalence and Measure Equivalence. In this subsection, we recall some basic facts about the relation between orbit and measure equivalence, which will enable us to reformulate a number of our main results in the stronger form in which they will be proved. The material of this subsection follows [F2, Sec. 2–3] wherein the reader can find more details and proofs. As a matter of notation, we shall use only *left* actions and cocycles.

We recall our standing convention that  $(X, \mu)$  is called a *probability*  $\Gamma$ -space if it is a standard probability space with an essentially free measurable  $\Gamma$ -action preserving  $\mu$ . Thus all corresponding measurable equivalence relations will be of type II<sub>1</sub>.

DEFINITION 2.1. (Weak Orbit Equivalence) Let  $\Gamma$  and  $\Lambda$  be countable groups and  $(X, \mu)$ ,  $(Y, \nu)$  be probability  $\Gamma$ - and  $\Lambda$ -spaces respectively. The two actions are said to be weakly orbit equivalent (WOE) or stably orbit equivalent, if either one of the following two equivalent conditions holds:

- (i) The two equivalence relations induced by the  $\Gamma$  and  $\Lambda$ -actions are weakly isomorphic, i.e., there exist non-null measurable subsets  $A \subseteq X$ ,  $B \subseteq Y$  on which the restrictions of the relations are isomorphic. More precisely, for some A, B as above, a measurable isomorphism  $F: A \to B$  and all  $x_1, x_2 \in A$ , one has  $\Gamma x_1 \cap A = \Gamma x_2 \cap A$  if and only if  $\Lambda F(x_1) \cap B = \Lambda F(x_2) \cap B$ .
- (ii) There exist measurable maps  $p: X \to Y, q: Y \to X$  such that:
  - 1.  $p_*\mu \prec \nu$ ,  $q_*\nu \prec \mu$  (where  $\prec$  denotes absolute continuity of measures).
  - 2.  $p(\Gamma x) \subseteq \Lambda p(x)$  and  $q(\Lambda y) \subseteq \Gamma q(y)$  for a.e.  $x \in X, y \in Y$ .
  - 3.  $q \circ p(x) \in \Gamma x$  and  $p \circ q(y) \in \Lambda y$  for a.e.  $x \in X, y \in Y$ .

Orbit equivalence as defined in the Introduction is of course a special case of WOE with A, B of full measure in (i) or with p, q inverse measurable isomorphisms in (ii). As we shall see, WOE is a useful notion even if one is interested in OE only.

DEFINITION 2.2. (Compression Constant) With assumptions and notations as in Definition 2.1, one defines the *compression constant* 

$$C(X,Y) = \nu(B)/\mu(A),$$

where A, B are as in point (i) of Definition 2.1. The compression constant depends on the given WOE but not on the choice of A, B.

PROPOSITION 2.3. With notations as above, assume that the  $\Gamma$ - and  $\Lambda$ -actions on X, Y are ergodic. Then C(X, Y) = 1 if and only if the actions are OE.

DEFINITION 2.4. (WOE Cocycles) Retain the notations of point (ii) of Definition 2.1. Due to essential freeness, one can define measurable cocycles  $\alpha : \Gamma \times X \to \Lambda$  and  $\beta : \Lambda \times Y \to \Gamma$  by the a.e. requirements  $\alpha(\gamma, x)p(x) = p(\gamma x)$  and  $\beta(\lambda, y)q(y) = q(\lambda y)$ . Recall that the cocycle identity reads here  $\alpha(\gamma \gamma', x) = \alpha(\gamma, \gamma' x)\alpha(\gamma', x)$ .

When two actions are WOE – or even OE – the maps which send orbits into orbits are of course far from being unique. Supposing for simplicity that the actions on X, Y are OE, one can perturb an orbit equivalence  $F: X \to Y$  by any measurable assignment  $\varphi: X \to \Lambda$ , thereby defining  $\widetilde{F}(x) = \varphi(x)F(x)$ , which induces the same OE. It is easy to see that any isomorphism  $\widetilde{F}$  inducing the same OE is actually obtained in this way, and that this yields a cohomologous (or *equivalent*) cocycle  $\widetilde{\alpha} \sim \alpha$ . For later reference we record the following elementary

LEMMA 2.5. With the above notations, suppose that the  $\Gamma$ - and  $\Lambda$ -actions are OE and that the associated cocycle  $\alpha: \Gamma \times X \to \Lambda$  is equivalent to a cocycle  $\widetilde{\alpha}$  which does not depend on  $x \in X$ . Then the essential value map  $f: \Gamma \to \Lambda$  determined by  $\widetilde{\alpha}$  is a group isomorphism and the OE is induced by an isomorphism  $\widetilde{F}: X \to Y$  which intertwines the actions relatively to f (i.e. the actions are isomorphic with respect to f).

We finally observe that even if one perturbs an OE map  $F: X \to Y$  to obtain  $\widetilde{F}$  as above, the latter will in general *not* be a bijection and hence a priori not describe an OE. However it will induce a WOE, and the WOE context is stable under this operation; hence this setting is more natural and convenient to work with. The viewpoint of measure equivalence, which we now turn to, enables one to remove completely the arbitrary choice of the map F inducing the (weak) orbit equivalence.

Recall from the Introduction (Definition 1.15) the definition of a ME coupling  $(\Sigma, m)$  between two countable groups  $\Gamma, \Lambda$ . We shall say that the ME coupling  $\Sigma$  is ergodic if the  $\Gamma \times \Lambda$ -action on  $\Sigma$  is ergodic; this is equivalent to the ergodicity of  $\Gamma$  on  $\Lambda \setminus \Sigma$ , or to the ergodicity of  $\Lambda$  on  $\Gamma \setminus \Sigma$ .

Recall that the  $\Gamma$ -action on  $\Sigma$  admits by definition a measurable fundamental domain  $Y \subseteq \Sigma$  with  $0 < m(Y) < \infty$ . Likewise, let X be such a fundamental domain for  $\Lambda$ . We shall always endow  $\Gamma \backslash \Sigma$  with the measure restricted from m via the identification  $\Gamma \backslash \Sigma \cong Y$ , and likewise for  $\Lambda \backslash \Sigma \cong X$ . In order to distinguish from the original  $\Gamma$ -action on  $\Sigma$ , we denote by  $\gamma \cdot x$  the measurable measure preserving  $\Gamma$ -action on X obtained by  $\Lambda \backslash \Sigma \cong X$  using the commutativity of the  $\Gamma$ - and  $\Lambda$ -actions. Likewise, we have also a "dot"  $\Lambda$ -action  $\lambda \cdot y$  on Y.

DEFINITION 2.6. (Retractions, ME Cocycles) Let  $\chi : \Sigma \to \Gamma$  be the measurable  $\Gamma$ -equivariant map defined by:  $\chi(x)^{-1}x \in Y$  for all  $x \in \Sigma$ . Then we call  $\chi$  the retraction associated to Y. Likewise, there is a  $\Lambda$ -equivariant retraction  $\kappa : \Sigma \to \Lambda$  associated to X. We obtain thus cocycles  $\alpha : \Gamma \times X \to \Lambda$  and  $\beta : \Lambda \times Y \to \Gamma$  (with respect to the "dot" actions) by setting  $\alpha(\gamma, x) = \kappa(\gamma x)^{-1}$  and  $\beta(\lambda, y) = \chi(\lambda y)^{-1}$ .

Thus we have for all  $x \in X$  and  $\gamma \in \Gamma$  the formula

$$\gamma \cdot x = \alpha(\gamma, x) \gamma x$$

and likewise for  $\lambda \cdot y$ . Observe also that one can define maps  $p_{\chi}: X \to Y$  and  $q_{\kappa}: Y \to X$  by  $p_{\chi}(x) = \chi(x)^{-1}x$  and  $q_{\kappa}(y) = \kappa^{-1}(y)y$ .

EXAMPLE 2.7. (Trivial Coupling) Let  $(\Sigma, m)$  be a ME coupling of  $\Gamma$  with  $\Lambda$  and assume that both actions on  $\Sigma$  are simply transitive (so m is purely atomic). Then the choice of any base point  $x \in \Sigma$  defines an isomorphism  $f: \Gamma \to \Lambda$  by taking for  $f(\gamma)$  the only  $\lambda \in \Lambda$  such that  $\lambda \gamma x = x$ . We call  $\Sigma$  a trivial coupling and denote it by  $\mathbf{T}_f$ .

Observe that another choice of x gives a conjugated isomorphism. Observe also that upon identifying  $\Lambda$  with  $\Sigma$  as the orbit of x, the action becomes  $(\gamma, \lambda) \eta = \lambda \eta f(\gamma)^{-1}$  for  $\eta \in \Lambda$ .

A less trivial (but still very straightforward) source of examples is the following:

EXAMPLE 2.8. (Lattices) Let G be a locally compact second countable group and  $\Gamma$ ,  $\Lambda$  two lattices in G. The existence of lattices implies that any Haar measure m is left and right invariant; therefore, we obtain a ME coupling  $\Sigma = (G, m)$  of  $\Gamma$  with  $\Lambda$  by considering the  $\Gamma \times \Lambda$ -action given by  $(\gamma, \lambda)g = \gamma g \lambda^{-1}$ .

A very special case is when  $G = \Gamma$  and  $\Lambda$  is a finite index subgroup of  $\Gamma$ .

Given a ME coupling  $(\Sigma, M)$  of  $\Gamma$  with  $\Lambda$ , we shall need the following concept which may seem pedantic at first sight, but will turn out to be extremely useful: Since  $\Sigma$  is technically a  $\Gamma \times \Lambda$ -space, we may define the *opposite coupling*  $\check{\Sigma}$  of  $\Lambda$  with  $\Gamma$  to be the  $\Lambda \times \Gamma$ -space obtained via the canonical isomorphism  $\Lambda \times \Gamma \cong \Gamma \times \Lambda$ . As this will be particularly relevant in situations where  $\Lambda = \Gamma$ , we will (though rarely!) have to distinguish the  $\Gamma$ -actions on  $\Sigma$  by writing  $(\gamma, x) \mapsto A_{\gamma}^1 x$  and  $A_{\gamma}^2 x$  respectively (then  $\check{\Sigma}$  is obtained by switching  $A^1$  with  $A^2$ ).

DEFINITION 2.9. (Coupling Composition) Assume we are furthermore given a ME coupling  $(\Omega, n)$  of  $\Lambda$  with a third (countable) group  $\Delta$ . Define the *composed coupling*  $\Sigma \times_{\Lambda} \Omega$  to be the quotient space of  $\Sigma \times \Omega$  by the product  $\Lambda$ -action. By commutativity, this is still a  $\Gamma \times \Delta$ -space, and we turn it into a ME coupling of  $\Gamma$  with  $\Delta$  by endowing it with the measure obtained by restricting  $m \otimes n$  to an (infinite measure) fundamental domain for  $\Lambda$  in  $\Sigma \times \Omega$ .

DEFINITION 2.10. (Coupling Index) Given a ME coupling  $(\Sigma, m)$  of  $\Gamma$  with  $\Lambda$ , define its *coupling index* to be the following positive number:

$$[\Gamma : \Lambda]_{\Sigma} = \frac{m(\Lambda \backslash \Sigma)}{m(\Gamma \backslash \Sigma)}.$$

The notation reflects the fact that in the particular case where  $\Lambda$  is a finite index subgroup of  $\Gamma$  (Example 2.8) we recover indeed the index  $[\Gamma : \Lambda] = |\Gamma/\Lambda|$ . More generally, the coupling index corresponds to the ratio of co-volumes if  $\Gamma$ ,  $\Lambda$  are lattices in one given locally compact group. It is straightforward to verify the formulae

(1) 
$$[\Gamma : \Lambda]_{\Sigma} = 1/[\Lambda : \Gamma]_{\check{\Sigma}}, \qquad [\Gamma : \Delta]_{\Sigma \times_{\Lambda} \Omega} = [\Gamma : \Lambda]_{\Sigma} \cdot [\Lambda : \Delta]_{\Omega}.$$

We need one more

EXAMPLE 2.11. (Standard Coupling) Let  $\Gamma$  be a countable group and  $(X, \mu)$  a probability  $\Gamma$ -space. We define a ME coupling of  $\Gamma$  with itself as follows: Endow  $\Sigma = X \times \Gamma$  with the product measure and define the  $\Gamma$ -actions  $A^1, A^2$  by  $A^1_{\gamma}(x, \gamma_0) = (\gamma x, \gamma \gamma_0)$  and  $A^2_{\gamma}(x, \gamma_0) = (x, \gamma_0 \gamma^{-1})$ ; we call this the *standard* coupling associated to X. The two resulting  $\Gamma$ -actions on  $A^1(\Gamma) \setminus \Sigma$  and  $A^2(\Gamma) \setminus \Sigma$  are both isomorphic to the  $\Gamma$ -action on X. The subset  $X \times \{e\} \subseteq \Sigma$  is a common fundamental domain for both actions on  $\Sigma$ , the associated cocycles are the identity isomorphism and there is a natural quotient map  $\Sigma \to \mathbf{T}_{Id}$  to the trivial coupling whose fibres can be identified with X.

Conversely, it is easy to verify that every ME coupling satisfying the properties listed above is measurably isomorphic to a standard coupling  $\Sigma$  as above.

We now state the fundamental observation concerning the relation between ME and WOE. The following is proved by A. Furman [F2] (who gives credit also to M. Gromov and R. Zimmer).

THEOREM 2.12. (ME-WOE) Let  $\Gamma, \Lambda$  be countable groups and  $(X_0, \mu)$ ,  $(Y_0, \nu)$  be probability  $\Gamma$ - and  $\Lambda$ -spaces respectively. To any WOE given with p, q as in Definition 2.1 point (ii) corresponds a ME coupling  $\Sigma$  of  $\Gamma$  with  $\Lambda$ , together with a choice of  $\Gamma$ - and  $\Lambda$ -fundamental domains Y, X resp., such that:

- (i) Modulo renormalisation of measures, one has isomorphisms of  $\Gamma$ -spaces  $X_0 \cong \Lambda \backslash \Sigma \cong X$  and of  $\Lambda$ -spaces  $Y_0 \cong \Gamma \backslash \Sigma \cong Y$ .
- (ii) Under these identifications, one has  $p_{\chi} = p$  and  $q_{\kappa} = q$ . Moreover, the WOE cocycles  $\alpha, \beta$  of Definition 2.4 coincide with the ME cocycles of Definition 2.6.

(iii) 
$$C(X_0, Y_0) = [\Gamma : \Lambda]_{\Sigma}$$
.

Moreover, in the ergodic case, one has  $[\Gamma : \Lambda]_{\Sigma} = 1$  if and only if the WOE is (induced by) an OE, and then one can choose in  $\Sigma$  a common  $\Gamma$ - and  $\Lambda$ -fundamental domain. Conversely, the above procedure produces WOE probability  $\Gamma$ -respectively  $\Lambda$ -spaces out of any ME coupling  $\Sigma$  and the above three properties hold. (Yet, in contrast to our standing assumption these spaces need not be essentially free – see Remark 2.14 below).

On the proof. See 3.2 and 3.3 in Furman [F2] where ergodicity is assumed. However one can reduce to this case by [F1, 2.2].

We can now see what *commensurability* for actions should be.

EXAMPLE 2.13. (Stability Properties) Here are two constructions that appear naturally and will be useful in the sequel; they are in a sense mutually dual.

- (i). Consider a countable group  $\Gamma$  and a probability  $\Gamma$ -space  $(X, \mu)$ . Let  $N \lhd \Gamma$  be a finite normal subgroup and set  $\Lambda = \Gamma/N$ . Consider the quotient  $N \backslash X$  (with quotient measure) as a probability  $\Lambda$ -space. Then the  $\Gamma$ -action on X is WOE to the  $\Lambda$ -action on  $N \backslash X$  since one can take for Definition 2.1 (ii)  $p: X \to N \backslash X$  to be the quotient map and  $q: N \backslash X \to X$  any measurable cross-section. Alternatively, one meets the other condition of that definition by taking  $A = q(N \backslash X)$  for q as before and  $B = N \backslash X$  (thus the compression constant is  $C(X, N \backslash X) = |N|$ ). The ME coupling associated to this WOE is the following: First let  $\Sigma$  be the standard coupling of  $\Gamma$  with itself associated to X as in Example 2.11, and then consider the coupling  $N \backslash \Sigma = A^2(N) \backslash \Sigma$  obtained from  $\Sigma$  by dividing out, say, the second N-action. Of course we have  $[\Gamma:\Lambda]_{N \backslash \Sigma} = |N| = C(X, N \backslash X)$ .
- (ii). This time we consider a finite index subgroup  $\Lambda$  of a countable group  $\Gamma$  and a probability  $\Lambda$ -space  $(Y, \nu)$ . We write  $Y \uparrow^{\Gamma}_{\Lambda}$  for the  $\Gamma$ -space which is the suspension (or induction) of the  $\Lambda$ -action on Y; this space is obtained (after dividing the measure by  $[\Gamma : \Lambda]$ ) by considering the quotient of  $\Gamma \times Y$  by the  $\Lambda$ -action  $\lambda(\gamma, y) = (\lambda \gamma, \lambda y)$  endowed with the  $\Gamma$ -action descending from  $\gamma_1(\gamma_2, y) = (\gamma_2 \gamma_1^{-1}, y)$ . Then the  $\Gamma$ -action on  $Y \uparrow^{\Gamma}_{\Lambda}$  is WOE to the  $\Lambda$ -action on Y. Indeed, the first equivalent characterisation in Definition 2.1 is met by setting B = Y and letting  $A \subseteq Y \uparrow^{\Gamma}_{\Lambda}$  be the image of  $\{e\} \times Y$ . Alternatively, for the second characterisation, let  $p: Y \uparrow^{\Gamma}_{\Lambda} \to Y$  be the natural quotient map and q be the section obtained by  $y \mapsto (e, y)$ . In particular, we have the compression constant  $C(Y \uparrow^{\Gamma}_{\Lambda}, Y) = [\Gamma : \Lambda]$ . To describe the ME coupling associated to this WOE, one considers again the standard coupling  $\Sigma$  (of  $\Lambda$  this time) associated to Y; then, either one takes the suspension of, say, the first  $\Lambda$ -action on  $\Sigma$ , or equivalently one composes the coupling  $\Sigma$  with the coupling arising from the inclusion  $\Lambda < \Gamma$  as in the end of Example 2.8.

We conclude the example by remarking that if we have a probability  $\Gamma$ -space  $(X, \mu)$  and a finite index subgroup  $\Lambda < \Gamma$ , then in general the restricted  $\Lambda$ -action on X will **not** be WOE to the original  $\Gamma$ -action. This can be seen for instance as

follows: Suppose  $\Gamma = \Gamma_1 \times \Gamma_2$ , where the  $\Gamma_i$ 's are torsion-free and in  $\mathcal{C}_{reg}$  (e.g. non-Abelian free groups). Let  $\Lambda < \Gamma$  be a finite index subgroup not isomorphic to  $\Gamma$ . Now if X is mildly mixing  $\Gamma$ -space, then the  $\Lambda$ -action on X cannot be WOE to the  $\Gamma$ -action, since that would contradict the generalisation of Theorem 1.9 given below as Theorem 2.17 (ii). Notice however, that this stands in contrast to, but does not contradict the fact that any ME coupling of  $\Gamma$  with some other countable group  $\Delta$  also forms a ME coupling of the finite index subgroup  $\Lambda < \Gamma$  with  $\Delta$ .

Remark 2.14. There is some lack of symmetry in the relation between ME and WOE, because the WOE actions on probability spaces obtained as quotients of a ME coupling can be far from being free (consider e.g. Example 2.8 with Abelian G, or the trivial coupling for which the quotients reduce to a point). As far as proofs are concerned, this is not a difficulty for us, as we establish all our proofs in the setting of ME couplings and then deduce the WOE or OE statements, thereby using only the WOE  $\longrightarrow$  ME direction. However, since the opposite direction will be useful to us when constructing some examples, we observe that the technicality arising in the inverse construction can easily be circumvented. This is achieved by composing a given ME coupling  $\Sigma$  (with potentially non-free  $\Gamma$ - or  $\Lambda$ -quotients) with a standard self-coupling of  $\Gamma$  (Example 2.11) associated to any free probability  $\Gamma$ -space X. The relevant properties of  $\Sigma$  will be preserved in the composed coupling; ergodicity properties, such as irreducibility, are preserved if one chooses X to be "sufficiently ergodic" (e.g. mixing)  $\Gamma$ -space.

2.2. Reformulation and Discussion of the Main Results. The relation between OE and ME, as discussed in the preceding subsection, enables us to reformulate our results in terms of the latter notion. In doing so we shall also generalise the main results to the framework of weak orbit equivalence.

As mentioned in the Introduction, we will consider a family of groups more general than the class  $C_{reg}$ . The property relevant to our approach is described by the following:

DEFINITION 2.15. (Class C) Denote by C the class of groups admitting a mixing unitary representation  $\pi$  on a separable Hilbert space, such that  $H_b^2(\Gamma, \pi) \neq 0$ .

Recall that a unitary representation is called **mixing** if all its matrix coefficients vanish at infinity; the outstanding example, and the one we shall actually use, is the regular representation. It follows from Theorem 1.3 that the Examples 1.1 introduced for the sake of concreteness are all contained in  $\mathcal{C}$  (see Section 7 which has more on  $\mathcal{C}$ ).

We next extend Definition 1.5 above in order to cover products of any number of groups:

DEFINITION 2.16. Let  $\Gamma_1, \ldots, \Gamma_n$  be groups and set  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$ . A  $\Gamma$ -space  $(X, \mu)$  is called *irreducible* if for every  $1 \leq j \leq n$  the subproduct  $\Gamma'_j = \prod_{i \neq j} \Gamma_i$  acts ergodically on X.

Notice that this definition forces n > 1 (unless X is trivial).

We begin reformulating our main results by considering Theorem 1.6. We shall in fact prove the following more general version of it:

THEOREM 2.17. Let  $\Gamma_1, \ldots, \Gamma_n$  be torsion-free groups in  $\mathcal{C}$  and  $(\Sigma, m)$  be a ME coupling of  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$  with a product  $\Lambda = \Lambda_1 \times \cdots \times \Lambda_n$  of any torsion-free countable groups such that the  $\Lambda$ -action on  $\Gamma \setminus \Sigma$  is irreducible. Assume that either

- (i)  $[\Lambda : \Gamma]_{\Sigma} \geq 1$ ,
- or (ii) the  $\Gamma$ -action on  $\Lambda \setminus \Sigma$  is irreducible.

Then, upon permuting indices, there are isomorphisms  $f_i: \Lambda_i \xrightarrow{\cong} \Gamma_i$  such that identifying  $\Gamma$  with  $\Lambda$  through  $f = \prod f_i: \Lambda \cong \Gamma$ , the coupling  $\Sigma$  is a standard coupling. Equivalently, referring to Example 2.11 for the notion of standard couplings, we have  $[\Lambda:\Gamma]_{\Sigma}=1$  and there is a common fundamental domain  $Y\subseteq \Sigma$  for both actions such that  $\lambda Y=f(\lambda)Y$  for all  $\lambda\in\Lambda$ .

Thus, at the level of (W)OE, Theorem 2.17 implies that, under the assumptions corresponding to the above, any WOE of the actions is in fact an OE induced by an isomorphism of the actions with respect to an isomorphism of the groups.

Therefore, Theorem 1.6 follows from Theorem 2.17 in the particular case  $\Lambda_i = \Gamma_i$ , n = 2,  $C_{\text{reg}}$  instead of C and essentially free quotients.

We give now an illustration of the necessity of the assumptions in Theorem 2.17:

EXAMPLE 2.18. (Coupling Index Condition) Let  $F_n$  denote the free group on n generators. Realise  $F_3$  and  $F_5$  as index two subgroups of  $F_2$  and  $F_3$  respectively, and view  $F_2 \times F_5 < F_2 \times F_3$  and  $F_3 \times F_3 < F_2 \times F_3$  as index two subgroups. Thus  $F_2 \times F_3$  is a ME coupling of  $\Gamma = F_2 \times F_5$  with  $\Lambda = F_3 \times F_3$  and the coupling index is one (observe that there is indeed a common  $\Gamma$ - and  $\Lambda$ -fundamental domain  $\{(e, e), (x, y)\}$  in  $F_2 \times F_3$  given by representatives x, y of the non-trivial cosets in  $F_2, F_3$ ). Thus we see that the coupling index condition alone is not sufficient to derive the conclusion of Theorem 2.17. On the other hand, if we replace  $F_5$  by  $F_3$  then  $F_2 \times F_3$  becomes a coupling of  $F_2 \times F_3$  with  $\Lambda = F_3 \times F_3$  for which the latter acts irreducibly (indeed, it acts on a point). However this time the inequality for the coupling constant is not satisfied, which accounts for the failure of the conclusion of the theorem. (Recall from Remark 2.14 that one can also build OE and WOE counter-examples out of the ME examples given here upon making the quotient actions essentially free by composition with, say, the standard coupling associated to a Bernoulli shift.)

Here is now an example showing how the statement breaks down for groups not in C.

EXAMPLE 2.19. (Class C Condition, I) Let G be a connected non-compact simple Lie group with trivial center and consider four copies of G labeled  $G_i$ ,  $1 \le i \le 4$ .

For each pair  $1 \leq i \neq j \leq 4$  let  $\Gamma_{ij}$  be an irreducible lattice in the product  $G_i \times G_j$ . Of course, one may choose none, some, or all  $\Gamma_{ij}$  to be non-isomorphic as abstract groups. Now  $\Gamma = \Gamma_{12} \times \Gamma_{34}$  as well as  $\widetilde{\Gamma} = \Gamma_{13} \times \Gamma_{24}$  can both be realised naturally as lattices in  $\prod_{1 \leq i \leq 4} G_i$ , thus producing a ME coupling of  $\Gamma$  with  $\widetilde{\Gamma}$ . Using Howe-Moore's theorem, it is easy to check that this coupling is irreducible – namely each  $\Gamma_{ij}$  acts ergodically on the quotient of  $G^4$  by the "other" product. Moreover, the conclusion of the theorem fails even if we take all  $\Gamma_{ij}$  isomorphic, as this ME coupling is not a standard one (Example 2.11). This also shows that a non-trivial assumption on the groups is needed in Theorem 1.6 from the Introduction.

Next, we reformulate and generalise Theorem 1.10 (and thus Theorem 1.9) in the ME setting and discuss the assumptions made there. For the sake of clarity, we separate the statements for the groups (2.20) and for the actions (2.20\*):

THEOREM 2.20. Let  $\Gamma_1, \ldots, \Gamma_n$  be torsion-free groups in  $\mathcal{C}$  and let  $\Lambda$  be any countable group admitting a ME coupling  $(\Sigma, m)$  to  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$ .

If the  $\Gamma$ -action on  $\Lambda \setminus \Sigma$  is irreducible and the  $\Lambda$ -action on  $\Gamma \setminus \Sigma$  is mildly mixing, then  $\Lambda$  fits in an extension

$$1 \longrightarrow N \longrightarrow \Lambda \xrightarrow{\pi} \Gamma' \longrightarrow 1$$

where N is finite and  $\Gamma' < \Gamma$  is a finite index subgroup whose projections to each  $\Gamma_i$  are onto. Moreover, we have

$$[\Gamma : \Gamma'] = |N| \cdot [\Gamma : \Lambda]_{\Sigma}.$$

Let us call an exact sequence (2) with N finite and  $\Gamma'$  of finite index in  $\Gamma$  a *virtual isomorphism* of the groups  $\Lambda$ ,  $\Gamma$ . We have seen above (Example 2.13) that in this setting the natural generalisation of isomorphic actions is a sort of commensurability of actions; we show in the proof of Theorem 2.20 that any WOE of actions as in the setting of that theorem are in fact a virtual isomorphism; more precisely:

THEOREM (2.20\*). Let  $\Gamma_1, \ldots, \Gamma_n$  be torsion-free groups in  $\mathcal{C}$  with an irreducible essentially free  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$ -action on a probability space Y.

If this action is WOE to a mildly mixing essentially free action of any countable group  $\Lambda$  on a probability space X, then we have a virtual isomorphism as in (2) and the corresponding  $\Gamma$ -action on  $(N\backslash X)\uparrow_{\Gamma}^{\Gamma}$ , is isomorphic to Y.

In the OE case we can deduce a stronger statement upon assuming aperiodicity of  $\Gamma$ :

COROLLARY 2.21. Let  $\Gamma_1, \ldots, \Gamma_n$  be torsion-free groups in C, and let Y be an aperiodic irreducible essentially free  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$ -space.

If this action is OE to a mildly mixing essentially free action of any countable group  $\Lambda$  on a probability space X, then there exists an isomorphism of  $\Lambda$  and  $\Gamma$  with respect to which the actions on X,Y are isomorphic.

(Observe that aperiodicity and irreducibility both hold if e.g. the  $\Gamma$ -action is mildly mixing.)

In the light of the discussion of Section 2.1, the above result imply indeed Theorems 1.9 and 1.10 stated in the Introduction. In fact, we see that if we assume only that the actions in Theorem 1.10 are WOE, we still obtain both conclusions (i) and (ii), with the modified formula  $[\Gamma : \Gamma'] = |N| \cdot C(X, Y)$ .

Note that the OE assumption is equivalent to C(X,Y)=1; that N is trivial as soon as  $\Lambda$  is torsion-free; and that on the other hand aperiodicity forces  $[\Gamma : \Gamma']=1$  because in that case the action cannot be a suspension of an action of a proper finite index subgroup. This accounts for Theorem 1.9 and Corollary 2.21.

EXAMPLE 2.22. (Mild Mixing Condition) In order to put the mild mixing assumption in a better perspective, consider the following situation. Let G be a connected, rank one simple Lie group with trivial center  $(e.q. PSL_2(\mathbf{R}))$  and choose two lattices  $\Gamma_1, \Gamma_2 < G$  (in particular, the  $\Gamma_i$ 's are in  $\mathcal{C}_{reg}$ ). Let  $\Lambda < G \times G$  be an irreducible lattice. Then, as in Example 2.8,  $G \times G$  is a ME coupling of  $\Gamma = \Gamma_1 \times \Gamma_2$ with  $\Lambda$ , or equivalently, the  $\Gamma$ -action on  $G^2/\Lambda$  is WOE to the  $\Lambda$ -action on  $G^2/\Gamma$  (the essential freeness of these actions can be deduced from the center freeness of G). One can arrange to have the same co-volumes, so that then the actions are in fact OE. Furthermore, the irreducibility of the lattice  $\Lambda$  ensures that the  $\Gamma$ -action is irreducible. Thus, we have here a situation where the conclusion of Theorem 2.20 (and Theorem 1.9) fails because the  $\Lambda$ -action on  $G^2/\Gamma$  is not mildly mixing, even though it does have very strong ergodicity properties: It is weakly mixing, and moreover one can find  $\Lambda$  for which every non-trivial element acts ergodically (or weakly mixing). In fact, one can detect precisely how the mild mixing property in Definition 1.8 fails: Using Howe-Moore's theorem, it can be shown that the only non-trivial recurrent sets are of the form  $A \times G/\Gamma_2$  or  $G/\Gamma_1 \times B$ , and the associated recurrent sequences  $(\lambda_n)$  of  $\Lambda$  must satisfy  $\operatorname{pr}_1(\lambda_n) \to e_1$  or  $\operatorname{pr}_2(\lambda_n) \to e_2$ , respectively, where  $\operatorname{pr}_i$  is the ith quotient map  $G \times G \to G$  and  $e_i$  the trivial element in the ith factor of the two.

Analogous to the case of products, we restate and generalise the rigidity results for groups with amenable radicals through the notion of measure equivalence:

THEOREM 2.23. Let  $\Gamma$ ,  $\Lambda$  be countable groups and  $M \triangleleft \Gamma$ ,  $N \triangleleft \Lambda$  amenable normal subgroups such that  $\overline{\Gamma} = \Gamma/M$  and  $\overline{\Lambda} = \Lambda/N$  are in C and torsion-free. Let  $(\Sigma, m)$  be a ME coupling of  $\Gamma$  with  $\Lambda$ .

If N is ergodic on  $\Gamma \setminus \Sigma$  and M on  $\Lambda \setminus \Sigma$ , then there is an isomorphism  $f : \overline{\Gamma} \xrightarrow{\cong} \overline{\Lambda}$ . Moreover,  $\Sigma$  admits a  $\Gamma \times \Lambda$ -equivariant factor  $\Phi : \Sigma \to \mathbf{T}_f$ , where the latter is the trivial coupling of  $\overline{\Gamma}$  with  $\overline{\Lambda}$  inducing f.

The superrigidity-type statement goes as follows:

THEOREM 2.24. Let  $\Gamma$  be a countable group with an amenable normal subgroup  $M \lhd \Gamma$  such that  $\overline{\Gamma} = \Gamma/M$  is in C and torsion-free, and let  $\Lambda$  be any countable group with a ME coupling  $(\Sigma, m)$  to  $\Gamma$ .

If the M-action on  $\Lambda \setminus \Sigma$  is ergodic and the  $\Lambda$ -action on  $\Gamma \setminus \Sigma$  is mildly mixing, then there is an amenable normal subgroup  $N \lhd \Lambda$  such that  $\overline{\Lambda} = \Lambda/N$  is isomorphic to  $\overline{\Gamma}$ .

To verify that these results indeed imply Theorems 1.12 and 1.13 stated in the Introduction, one appeals again to Theorem 2.12 above.

We now discuss some situations related to Theorem 1.16.

EXAMPLE 2.25. (Class  $\mathcal{C}$  Condition, II) Let G be any discrete group with Kazhdan's property (T) and H be a group without property (T). Set  $\Gamma_1 = G \times G$ ,  $\Gamma_2 = H \times H$ ,  $\Lambda_1 = G \times H$  and  $\Lambda_2 = H \times G$ . Then  $\Gamma = \Gamma_1 \times \Gamma_2$  is ME (indeed isomorphic) to  $\Lambda = \Lambda_1 \times \Lambda_2$ ; however,  $\Gamma_1$  is not ME to any  $\Lambda_i$  since property (T) is a ME invariant [F1, 1.4]. Thus, some non-trivial assumption on the groups in Theorem 1.16 is necessary. In fact, we do not have any natural candidate for a more general class of groups than  $\mathcal{C}$  for which a similar result should hold.

The fact that groups in  $\mathcal{C}$  cannot have infinite direct factors (see Section 7) is illustrated in a patent way in the above example. Indeed, we may arrange for both G and H to be in  $\mathcal{C}$  or even in  $\mathcal{C}_{reg}$ : Take for instance for G a lattice in  $\operatorname{Sp}(n,1)$  with  $n \geq 2$  and for H a free group on two generators. Then, as above, the conclusion of Theorem 1.16 fails for the products  $\Gamma = \Gamma_1 \times \Gamma_2$ ,  $\Lambda = \Lambda_1 \times \Lambda_2$ , but of course after further splitting of the factors one can shuffle the groups to get the (trivial) self-couplings of G and of H respectively, in accordance with the theorem.

As another example, consider the construction described in Example 2.18, namely the ME coupling of  $\Gamma = F_2 \times F_5$  and  $\Lambda = F_3 \times F_3$  with coupling index one – so that these groups admit actions (which can be made free) that are indeed OE and not just WOE. By the recent result of D. Gaboriau [Ga3], the  $\ell^2$ -Betti numbers are OE invariants, so that neither the couple  $F_2$  and  $F_3$ , nor the couple  $F_5$  and  $F_3$ , admit OE actions. Thus, even by assuming that two products  $\Gamma = \Gamma_1 \times \Gamma_2$  and  $\Lambda = \Lambda_1 \times \Lambda_2$  admit OE actions, one cannot arrive at a stronger conclusion in Theorem 1.16. The reader in invited to examine the proof of Theorem 1.16 in this very simple and concrete example to see how the equality of co-volumes can be lost in passing from the original ME coupling to couplings of the individual factors.

REMARK 2.26. Suppose that a product  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$  of groups in  $\mathcal{C}_{reg}$  is ME to a torsion-free product  $\Lambda = \Lambda_1 \times \cdots \times \Lambda_n$  of any (countable) groups  $\Lambda_i$ . Gaboriau's results [Ga2],[Ga3] imply that the  $\ell^2$ -Betti numbers  $\beta^i_{(2)}$  of  $\Gamma$  are proportional to those of  $\Lambda$ . But now Theorem 1.16 tells us that (after permutation of indices) we can also apply this to each pair, giving of course more restrictions on the possible values of the  $\ell^2$ -Betti numbers of the factors.

For instance, suppose we have a group  $\Gamma_1$  in  $\mathcal{C}_{reg}$  with the non-zero  $\ell^2$ -Betti numbers  $\beta_{(2)}^2 = 2$ ,  $\beta_{(2)}^3 = 3$ ,  $\beta_{(2)}^4 = 1$  and set  $\Gamma_2 = \Gamma_1$ . Choose now any torsion-free countable groups  $\Lambda_i$  such that:

	$\beta^1_{(2)}$	$\beta_{(2)}^2$	$\beta_{(2)}^3$	$\beta_{(2)}^4$	$\beta_{(2)}^{\geq 5}$
$\Lambda_1$	0	1	2	1	0
$\overline{\Lambda_2}$	0	4	4	1	0

Then  $\Gamma = \Gamma_1 \times \Gamma_2$  has the same  $\ell^2$ -Betti numbers as  $\Lambda = \Lambda_1 \times \Lambda_2$ , so that Gaboriau's result does not exclude a ME coupling of these two groups. However, such a coupling is impossible in view of Theorem 1.16 since then we would have individual couplings, and that would now contradict Gaboriau's proportionality.

Finally, we make some concluding remarks on the irreducibility property in Theorem 2.17. Suppose that we have a ME coupling  $\Sigma$  between two groups  $\Gamma = \Gamma_1 \times \Gamma_2$  and  $\Lambda_1 \times \Lambda_2$ , where all four factors are torsion-free and in  $\mathcal{C}_{reg}$  but the  $\Gamma_i$  are not isomorphic to the  $\Lambda_j$ . (For instance, the  $\Gamma_i$  are countable non-Abelian free groups and the  $\Lambda_j$  surface groups of genus  $\geq 2$ .) Then Theorem 1.16 tells us that (upon permuting indices)  $\Gamma_1$  is ME to  $\Lambda_1$  and  $\Gamma_2$  to  $\Lambda_2$ ; but on the other hand, the coupling  $\Sigma$  cannot be irreducible for both  $\Gamma$  and  $\Lambda$  because of Theorem 2.17 (ii). Can one deduce in certain situations that  $\Sigma$  is actually a product coupling? Likewise, if  $[\Gamma : \Lambda]_{\Sigma} = 1$ , the coupling can not even be irreducible for one side in view of Theorem 2.17 (i); so, again, must it be a product coupling?

# 2.3. **Groups with Many Actions.** We begin by proving an observation made in the Introduction:

THEOREM 2.27. Let  $\Gamma$  be a (countable) free group. Then any given probability  $\Gamma$ -space  $(X, \mu)$  is OE to actions of uncountably many non-isomorphic groups.

Proof. We may assume that  $\Gamma$  has rank at least two in view of Ornstein-Weiss result [OW] for amenable groups. In fact, for simplicity of notation only we shall take  $\Gamma$  of rank two. What we shall actually show is that for every pair of countable amenable groups A, B the  $\Gamma$ -action on X is OE to an action of  $\Lambda = A * B$  on the same space X. Let u, v be free generators of  $\Gamma$ ; to avoid technical issues, assume that both u and v are ergodic transformations of X (it is not difficult to remove this assumption, keeping the same strategy of proof). Consider the infinite (cyclic) amenable groups v = v = v and v = v = v and note that by the result of Ornstein-Weiss, there exist measure preserving essentially free actions of v = v and v =

there are countably many *n*-tuples  $(p_i, q_i)$ , this contradicts the essential freeness of the  $\Gamma$ -action.

REMARK 2.28. More generally, it seems that whenever G, H, A, B are countable groups such that G and A admit OE actions, and likewise for H and B, then G \* H admit an action OE to an action of A \* B (and in particular G \* H is ME to A \* B). This should follow from a similar idea, realising the OE for G and A on a common space X and the OE for H and B on a space Y, only that now one has to choose an isomorphism of standard probability spaces  $X \cong Y$  such that the resulting actions of the free products are essentially free -e.g. by applying the Baire category theorem to the Polish space of such isomorphisms. Observe that this line of reasoning does not pass to WOE (this is not possible in general, as the example of finite groups of different order shows). The situation is reminiscent of the known difference between bi-Lipschitz and quasi-isometric equivalence for free products of finitely generated groups.

The above result stands in strong contrast to our Theorem 1.7 from the Introduction; let us turn to the latter.

Proof of Theorem 1.7. The idea is to apply our Theorem 1.6 in order to get many actions of a given group that are mutually not OE; but actually, we shall rather use the stronger statement of Theorem 2.17 in order to be able to vary the groups as well. That way, we shall construct a family of actions as claimed in Theorem 1.7, but furthermore no two of them will even be WOE.

Let  $\mathcal{F}$  be the continuum of isomorphism classes of all groups  $\Gamma = \Gamma_1 \times \Gamma_2$ , where  $\Gamma_i = A * B$  range over all free products of any two torsion-free countable groups. In view of Theorem 2.17, all we have to do is to find for each such  $\Gamma$  in  $\mathcal{F}$  a continuum of non-isomorphic irreducible probability  $\Gamma$ -spaces. In order to produce the latter, we use the well known Gaussian measure construction that associates to any continuous unitary representation  $\pi$  of a locally compact, second countable group  $\Gamma$ , a measure preserving  $\Gamma$ -action. As explained in [Z2] (see 5.2.13 and p. 111), one obtains a continuum of non-isomorphic  $\Gamma$ -actions once  $\Gamma$  has a continuum of non-equivalent irreducible unitary representations  $\pi$ , and furthermore, for any closed subgroup  $H < \Gamma$ , the following holds: If  $\pi|_H$  is weakly mixing, then H acts ergodically on the measure space constructed in this manner.

On the other hand, it is a well known fact that any discrete infinite group  $\Gamma$  admits a continuum of irreducible unitary representations  $\pi$  that are weakly contained in  $L^2(\Gamma)$  (this follows from Corollaire 1 in J. Dixmier [Dx], a remark for which we thank Bachir Bekka). But then, for any non-amenable closed subgroup H < G, the restriction  $\pi|_H$  must be weakly mixing, since otherwise we would have (using  $\prec$  to

denote weak containment):

$$\mathbf{1}_{H} \subseteq (\pi \otimes \overline{\pi})|_{H} \prec (L^{2}(G) \otimes L^{2}(G))|_{H} \cong \bigoplus_{n=1}^{\infty} L^{2}(H),$$

contradicting non-amenability of H in view of the (generalised) Hulanicki criterion. Applying this discussion to  $H = \Gamma_i < \Gamma$ , one constructs a continuum of irreducible non-isomorphic probability  $\Gamma$ -spaces, thereby finishing the proof.

2.4. Outer Automorphisms of Certain Type II<sub>1</sub> Relations. The goal of this subsection is to present the

*Proof of Theorem 1.14.* We shall use the following notation of A. Furman [F3]: If  $(X, \mu)$  is any probability  $\Gamma$ -space for a countable group  $\Gamma$ , let

$$\operatorname{Aut}^*(X,\Gamma) = \left\{ F \in \operatorname{Aut}(\mathcal{R}_{\Gamma,X}) : \exists f \in \operatorname{Aut}(\Gamma) \ \forall \gamma \in \Gamma : \ F(\gamma x) = f(\gamma)F(x) \right\}$$

and write  $A^*(X,\Gamma)$  for the image of  $\operatorname{Aut}^*(X,\Gamma)$  in  $\operatorname{Out}(\mathcal{R}_{\Gamma,X})$ . With this notation one deduces immediately the following from Theorem 1.6:

Let  $\Gamma = \Gamma_1 \times \Gamma_2$  be a torsion-free group with both  $\Gamma_i$  in  $\mathcal{C}_{reg}$ , and let  $(X, \mu)$  be an irreducible probability  $\Gamma$ -space. Then  $Out(\mathcal{R}_{\Gamma,X}) = A^*(X,\Gamma)$ .

Now let K be a (second countable) compact group, and  $\mu$  be its normalised Haar measure. Let us fix  $K = \mathrm{SO}(n)$  with n odd, which enjoys the property of having both trivial center and no non-trivial outer automorphisms. Let  $\Lambda$  be a Kazhdan group which admits a dense embedding into K and such that every injective homomorphism  $\Lambda \to \Lambda$  is an inner automorphism. We note that for every  $n \geq 5$  one can indeed find such a group  $\Lambda$  which is a lattice in an appropriate higher rank simple Lie group; indeed, the dense embedding into K is provided by a standard Galois twist argument, whilst for the condition on injective homomorphisms  $\Lambda \to \Lambda$  we refer to [P]. Let  $F_p$  and  $F_q$  be non-Abelian free groups with  $p \neq q$  and consider the free products  $\Gamma_1 = \Lambda * F_p$  and  $\Gamma_2 = \Lambda * F_q$ . Suppose for the time being that we are given injective homomorphisms of  $F_p$ ,  $F_q$  into K such that the induced maps  $\Gamma_i \to K$  are still injective; then we can view K as a probability  $\Gamma = \Gamma_1 \times \Gamma_2$ -space by letting  $\Gamma_1$  and  $\Gamma_2$  act by right and left multiplication respectively (it is easily verified that essential freeness here is satisfied once every open subgroup of K is center free).

PROPOSITION 2.29. The group  $Out(\mathcal{R}_{\Gamma,K})$  is trivial.

Proof of the Proposition. By the above reformulation of Theorem 1.6, it is enough to show that  $A^*(K,\Gamma)$  is trivial. Since we chose  $F_p$  and  $F_q$  non-isomorphic, every element of  $\operatorname{Aut}^*(K,\Gamma)$  induces a (perhaps twisted) isomorphism of both  $\Gamma_1$ - and  $\Gamma_2$ -actions individually. We shall see that  $\operatorname{Aut}^*(K,\Gamma_1) \cap \operatorname{Aut}^*(K,\Gamma_2)$  is trivial (even though each of these two groups is large).

A direct argument of A. Furman [F3, 7.2] enables one to describe  $\operatorname{Aut}^*(K, \Gamma_1)$  as  $\operatorname{Aut}^*(K, \Gamma_1) = \{a_{\sigma,t}(k) = t\sigma(k) : \sigma \in \operatorname{Aut}(K), t \in K, \sigma(\Gamma_1) = t^{-1}\Gamma_1 t\}.$ 

Now, since  $\operatorname{Out}(K)$  is trivial, we can write  $\sigma(k) = c^{-1}kc$  for some  $c \in K$ ; hence  $a_{\sigma,t}(k) = tc^{-1}kc$  with the condition  $c^{-1}\Gamma_1c = t^{-1}\Gamma_1t$  i.e.  $(ct^{-1})^{-1}\Gamma_1(ct^{-1}) = \Gamma_1$ . Recall now that  $\Gamma_1 = \Lambda * F_p$ . We claim that up to a conjugation in  $\Gamma_1$  every  $f \in \operatorname{Aut}(\Gamma_1)$  is trivial on  $\Lambda$ . Indeed, since any action of the Kazhdan group  $\Lambda$  on the Bass-Serre tree associated with the free product  $\Lambda * F_p$  has a fixed vertex, it follows that  $f(\Lambda)$  is contained in a conjugate of  $\Lambda$  or of  $F_p$ , the latter being of course impossible. Hence after conjugation every  $f \in \operatorname{Aut}(\Gamma_1)$  satisfies  $f(\Lambda) \subseteq \Lambda$ , and by the choice of  $\Lambda$  we deduce that after further conjugation f is trivial on  $\Lambda$ , proving the claim.

If we apply this and the claim above to the automorphism f given by conjugation by  $ct^{-1}$ , recalling that by density of  $\Lambda$  in K every continuous automorphism of the latter which is trivial on the former must be trivial, we find  $tc^{-1} \in \Gamma_1$  and hence conclude

$$\operatorname{Aut}^*(K,\Gamma_1) = \{k \mapsto \gamma_1 k c_1 : \gamma_1 \in \Gamma_1, c_1 \in K\}.$$

The analogous argument for  $\Gamma_2$  yields

$$\operatorname{Aut}^*(K, \Gamma_2) = \{k \mapsto c_2 k \gamma_2 : \gamma_2 \in \Gamma_2, c_2 \in K\}$$

since  $\Gamma_2$  acts from the right. Thus, for

$$F \in \operatorname{Aut}^*(K,\Gamma) \subseteq \operatorname{Aut}^*(K,\Gamma_1) \cap \operatorname{Aut}^*(K,\Gamma_2)$$

we have  $F(k) = c_2k\gamma_2 = \gamma_1kc_1$  and therefore  $\gamma_1^{-1}c_2k = kc_1\gamma_2^{-1}$ . Taking k = e (or rather k sufficiently close to e since these equalities hold only almost everywhere), we deduce  $\gamma_1^{-1}c_2 = c_1\gamma_2^{-1}$ . Since K has trivial center this forces  $\gamma_1^{-1}c_2 = c_1\gamma_2^{-1} = e$ , i.e.  $c_2 = \gamma_1$  and  $c_1 = \gamma_2$  so that  $\operatorname{Aut}^*(K, \Gamma)$  (and more generally the above intersection) consists of maps  $k \mapsto \gamma_1 k \gamma_2$  which are of course trivial in  $A^*(K, \Gamma)$ . This concludes the proof of Proposition 2.29.

We return now to the proof of Theorem 1.14. Fix once and for all one dense embedding of  $\Lambda$  into K as above. We considered for the statement of Proposition 2.29 injective homomorphisms of  $F_p$ ,  $F_q$  into K such that the induced maps  $\Gamma_i \to K$  are still injective. However, such embeddings not only exist, but are generic with respect to the Haar measure [E]; in particular there is a continuum of non-conjugate such homomorphisms. Now Proposition 2.29 shows that each member of the corresponding family of  $\Gamma$ -actions determines a relation with trivial  $\operatorname{Out}(\mathcal{R}_{\Gamma,K})$ . By a similar argument, Theorem 1.6 implies that no two distinct actions in this family can be WOE, since they are non-conjugate; thus the relations are not weakly isomorphic, as required.

2.5. Some Examples with Linear Groups. Using Howe-Moore's theorem, one can easily deduce from our OE rigidity results applications to rigidity for linear groups acting on homogeneous spaces. We bring here two examples.

EXAMPLE 2.30. Let  $\overline{\Gamma}$  be a torsion-free group in  $\mathcal{C}$  with an injective homomorphism  $\rho: \overline{\Gamma} \to \operatorname{SL}_n(\mathbf{Z})$ . Form the semi-direct product  $\Gamma = \mathbf{Z}^n \rtimes_{\rho} \overline{\Gamma}$ , where  $\overline{\Gamma}$  acts linearly on  $\mathbf{Z}^n$  via  $\rho$ . This realises  $\Gamma$  as a subgroup of  $\operatorname{SL}_{n+1}(\mathbf{Z}) < G = \operatorname{SL}_{n+1}(\mathbf{R})$ . Let now  $\Delta, \Sigma$  be any two lattices in G.

If the translation  $\Gamma$ -action on  $G/\Delta$  is WOE to a  $\Lambda$ -translation action on  $G/\Sigma$ , where  $\Lambda < G$  is any discrete subgroup, then there is an infinite normal amenable subgroup  $N \lhd \Lambda$  such that  $\Lambda/N$  is isomorphic to  $\Gamma$ . (In particular, the Zariski closure of  $\Lambda$  is not semi-simple.).

This statement is a straightforward application of Theorem 2.24 together with Howe-Moore's theorem.

In our last example we consider linear embeddings that are not necessarily discrete. In fact, the following is of interest precisely in the non-discrete cases:

EXAMPLE 2.31. Let  $F = F_p \times F_q$  be a product of non-Abelian free groups (or of any torsion-free groups in  $\mathcal{C}$ ). Let  $i_1, i_2 : F \to G = \mathrm{SL}_n(\mathbf{R})$  be two embeddings such that the image of each free group under both embeddings is unbounded. For simplicity, assume n is odd so that G has trivial center. Let  $\Delta, \Sigma$  be two lattices in G.

If the F-translation action on  $G/\Delta$  through  $i_1$  is WOE to the F-translation action on  $G/\Sigma$  through  $i_2$ , then there is an automorphism f of F such that the embeddings  $i_1$  and  $i_2$  are topologically equivalent modulo f.

More precisely,  $i_2 \circ f \circ i_1^{-1}$  extends to an isomorphism between the closures of  $i_1(F)$  and  $i_2(F)$  in G. In particular,  $\overline{i_1(F)}$  is isomorphic to  $\overline{i_2(F)}$ .

Proof. By Howe-Moore's theorem, our assumption on the embeddings ensures that both F-actions are irreducible. By Theorem 2.17, it follows that the actions are isomorphic with respect to an automorphism f; we may assume f = Id upon composing one of the embeddings with f. If  $(g_k)$  is a sequence of F such that  $i_1(g_k)$  tends to  $e \in G$ , then by Howe-Moore  $i_2(g_k)$  is bounded since our two actions are isomorphic. Thus  $i_2(g_k)$  has a limit point  $g \in G$ ; now, since G has trivial center, g = e because otherwise g would not act trivially on  $G/\Sigma$ . By symmetry of that argument we deduce that  $i_1(g_k) \to e$  if and only if  $i_2(g_k) \to e$ , as required.  $\square$ 

# 3. Background in Bounded Cohomology

The purpose of this section is to offer the most elementary possible account of the bounded cohomology tools that we shall need. In the setting of this paper, it is possible to derive most relevant statements from two fundamental principles (Theorems 3.2 and 3.3 below). Thus, we shall indicate some proofs for the reader's convenience. For a more detailed introduction, we refer to [BM2],[M].

We consider throughout the paper bounded cohomology for countable (discrete) groups  $\Gamma$ . The coefficients will be taken almost always in unitary  $\Gamma$ -representations

on separable Hilbert spaces. However, for the purpose of induction of such modules (see Section 4), it will be essential to allow the following more general setting:

DEFINITION 3.1. A coefficient  $\Gamma$ -module  $(\pi, E)$  is an isometric linear  $\Gamma$ -representation  $\pi$  on a Banach space E such that: (i) E is the dual of some separable Banach space, (ii)  $\pi$  consists of adjoint operators (in this duality).

The bounded cohomology of  $\Gamma$  with coefficient module  $(\pi, E)$  is defined to be the cohomology of the complex

$$(4) 0 \longrightarrow \ell^{\infty}(\Gamma, E)^{\Gamma} \longrightarrow \ell^{\infty}(\Gamma^{2}, E)^{\Gamma} \longrightarrow \ell^{\infty}(\Gamma^{3}, E)^{\Gamma} \longrightarrow \cdots$$

of bounded invariant functions and is denoted by  $H_b^{\bullet}(\Gamma, E)$  or  $H_b^{\bullet}(\Gamma, \pi)$ . This complex is just the subcomplex of bounded functions in the standard (homogeneous) bar complex for the Eilenberg-MacLane cohomology; in other word, invariance is understood with respect to the regular representation

$$(\lambda_{\pi}(\gamma)f)(\gamma_0,\ldots,\gamma_n) = \pi(\gamma)(f(\gamma^{-1}\gamma_0,\ldots,\gamma^{-1}\gamma_n))$$

and the maps in (4) are the usual (Alexander-Spanier) coboundary maps.

The usual cohomological methods do not apply to bounded cohomology, which has proved difficult to compute. It is therefore essential to have at least some replacement for the intractable complex (4). More useful complexes arise in connection with standard Borel  $\Gamma$ -spaces with a finite quasi-invariant measure and such that the  $\Gamma$ -action is amenable in R. Zimmer's [Z2] sense. For short, we call such a space an amenable  $\Gamma$ -space.

Theorem 3.2 ([BM2],[M]). Let E be a coefficient  $\Gamma$ -module and S an amenable  $\Gamma$ -space. Then the complex

$$(5) 0 \longrightarrow L^{\infty}_{\mathbf{w}*}(S, E)^{\Gamma} \longrightarrow L^{\infty}_{\mathbf{w}*}(S^{2}, E)^{\Gamma} \longrightarrow L^{\infty}_{\mathbf{w}*}(S^{3}, E)^{\Gamma} \longrightarrow \cdots$$

realises canonically  $H_b^{\bullet}(\Gamma, E)$ . The corresponding statement holds for the subcomplex of alternating cochains.

We do not make the meaning of canonically more precise here, but its importance will be obvious in certain arguments below. In the above,  $L^{\infty}_{w*}$  denotes the space of essentially bounded weak-\* measurable functions. Below, we will often deal with cases where E is separable, in which case weak-\* and strong measurability coincide; hence the simpler notation  $L^{\infty}$ .

The point of Theorem 3.2 is that there are indeed examples of amenable spaces with very strong ergodicity properties: The following result was established in [BM2],[M] for finitely (or compactly) generated groups; the general version was then provided by V. Kaimanovich [K].

THEOREM 3.3. For every countable group  $\Gamma$ , there is an amenable  $\Gamma$ -space S such that for every separable coefficient  $\Gamma$ -module E, the space  $L^{\infty}(S^2, E)^{\Gamma}$  is reduced to constant functions. In particular, there is a canonical isomorphism

$$\mathrm{H}^2_\mathrm{b}(\Gamma, E) \cong ZL^\infty_\mathrm{alt}(S, E)^\Gamma,$$

where  $ZL_{\mathrm{alt}}^{\infty}$  denotes the space of alternating cocycles.

(We point out that the conditions on E are not merely technical, and that there are counter-examples if one drops either the separability assumption or the duality of E.)

A first immediate application of this fact is the following.

COROLLARY 3.4. Let  $\Gamma$  be a countable group and  $(\pi_n, \mathcal{H}_n)_{n=1}^{\infty}$  a family of unitary  $\Gamma$ -representations in separable Hilbert spaces  $\mathcal{H}_n$ . Then

$$H_b^2(\Gamma, \bigoplus_{n=1}^{\infty} \mathcal{H}_n) = 0 \iff \forall n \ge 1 : H_b^2(\Gamma, \mathcal{H}_n) = 0.$$

An analogous statement holds for direct integrals of unitary representations.  $\Box$ 

Here is another immediate consequence taken from [BM2],[M]:

COROLLARY 3.5. Let  $\Gamma$  be a countable group and  $\alpha: E \to F$  an adjoint  $\Gamma$ -map of coefficient  $\Gamma$ -modules. If F is separable, then the induced map  $\alpha_*: H^2_b(\Gamma, E) \to H^2_b(\Gamma, F)$  is injective.

*Proof.* By Theorem 3.2 and functoriality of (5), the map  $\alpha_*$  is realised at the level of  $H_h^n(\Gamma, -)$  by the corresponding map

$$\alpha_n: L^{\infty}_{w*,alt}(S^{n+1}, E) \longrightarrow L^{\infty}_{alt}(S^{n+1}, F)$$

for any amenable  $\Gamma$ -space S; observe that this map ranges in measurable functions because  $\alpha$  is adjoint. On the other hand,  $\alpha_n$  is injective at the level of *cocycles*, so that the cohomological statement with n=2 follows from  $L^{\infty}_{alt}(S^2,F)=0$  with S as in Theorem 3.3.

We can also derive readily the following special case of a general exact sequence [M, N° 12.0.2]:

COROLLARY 3.6. Let  $\Gamma$  be a countable group,  $N \lhd \Gamma$  a normal subgroup and  $Q = \Gamma/N$  the quotient. If E is a separable coefficient Q-module, then the inflation map

$$\inf: H_b^2(Q, E) \longrightarrow H_b^2(\Gamma, E)$$

is injective.

*Proof.* Let S be an amenable  $\Gamma$ -space as in Theorem 3.3 and let S' be the Mackey realisation of  $L^{\infty}(S)^{N}$ . Then S' is an amenable Q-space satisfying the condition of Theorem 3.3. Thus we have canonical embeddings

$$L_{\rm alt}^{\infty}((S')^{n+1},E)^Q \longrightarrow L_{\rm alt}^{\infty}(S^{n+1},E)^{\Gamma}$$

which induce the inflation  $H_b^n(Q, E) \to H_b^n(\Gamma, E)$ . Since  $L_{alt}^{\infty}((S')^2, E)^Q$  vanishes, we deduce that at the level of  $H_b^n$  the inflation is still injective.

A key ingredient for our use of bounded cohomology in this paper is the following product formula whose proof relies also on Theorem 3.3.

THEOREM 3.7 ([BM2],[M]). Let  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$  be a product of countable groups  $\Gamma_i$  and let  $(\pi, E)$  be a separable coefficient  $\Gamma$ -module. Then

$$\mathrm{H}^2_\mathrm{b}(\Gamma, E) \cong \bigoplus_{i=0}^n \mathrm{H}^2_\mathrm{b}(\Gamma_i, E^{\Gamma_i'}),$$

where  $E^{\Gamma'_i}$  denotes the subspace of vectors fixed by  $\Gamma'_i = \prod_{j \neq i} \Gamma_j$ .

We will mostly use the consequence that  $H_b^2(\Gamma, E) \neq 0$  implies  $E^{\Gamma'_i} \neq 0$  for some i; we emphasize that the above formula does not follow formally like some Künneth formula, and indeed may fails when E is not separable (or not dual). Since [BM2],[M] deal with the general case of group extensions, we indicated the simpler proof of the product case in [MS1].

We end with a simple fact that is well known in this setting ([J],[Gr],[I],[N]) but can also be seen as an application of Theorem 3.2:

PROPOSITION 3.8. Let  $N \triangleleft \Gamma$  be an amenable normal subgroup of the countable group  $\Gamma$  and let E be a coefficient  $\Gamma$ -module. Then the inflation

inf: 
$$H_b^n(\Gamma/N, E^N) \longrightarrow H_b^n(\Gamma, E)$$

is an isomorphism. In particular,  $E^N \neq 0$  if  $H^n_b(\Gamma, E) \neq 0$ .

*Proof.* Let S be  $\Gamma/N$  endowed with some probability measure of full support. Then it is both an amenable  $\Gamma$ - and  $\Gamma/N$ -space. The statement now follows from Theorem 3.2 by realising the inflation by the isomorphisms

$$L^{\infty}_{\mathrm{w}*}(S^{n+1},E^N)^{(\Gamma/N)} = L^{\infty}_{\mathrm{w}*}(S^{n+1},E^N)^{\Gamma} \cong L^{\infty}_{\mathrm{w}*}(S^{n+1},E)^{\Gamma}.$$

REMARK 3.9. A degenerate case of Proposition 3.8 is when  $\Gamma$  itself is amenable: one deduces then that  $H_b^n(\Gamma, E)$  vanishes for all  $n \geq 1$  and every coefficient  $\Gamma$ -module E.

More advanced tools from [BM2],[M] include a low degree exact sequence for group extensions (used below for Proposition 7.4).

# 4. Cohomological Induction Through Couplings

Before considering cohomological induction, we start with some properties of the operation of inducing *representations*. This is well known in the OE setting but becomes more transparent for ME.

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4.1. Induced Representations. Let  $(\Sigma, m)$  be a ME coupling of two countable groups  $\Lambda, \Gamma$  and let  $(\pi, E)$  be unitary  $\Gamma$ -representation in a separable Hilbert space E, or more generally a separable coefficient module (Definition 3.1).

There are two equivalent ways to define the induced representation  $\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi$ . First, one can define the Banach space

(6) 
$$\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi = L^{[2]}(\Sigma, E)^{\Gamma}$$

of  $\Gamma$ -equivariant measurable maps  $f: \Sigma \to E$ , wherein the notation  $L^{[2]}$  means that the  $\Gamma$ -invariant function  $||f||_E$  is to be in  $L^2(\Gamma \setminus \Sigma)$ . The  $\Lambda$ -action in this model is simply given by translation on  $\Sigma$ . Equivalently, one can define

$$\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi = L^2(\Gamma \backslash \Sigma, E)$$

and endow it with the twisted  $\Lambda$ -action defined a.e. by

$$(\lambda f)(\Gamma x) = \pi(\chi(x)^{-1}\chi(\lambda^{-1}x))f(\lambda^{-1}\Gamma x),$$

where  $\chi$  is a retraction as in Definition 2.6. Although this viewpoint is useful too, one should remember that the isomorphism between the latter and the more natural former depends on the choice of  $\chi$ . We also mention that upon identifying  $\Gamma \setminus \Sigma$ with a fundamental domain Y, the action on  $f \in L^2(Y, E)$  becomes the well known twisted action

$$(\lambda f)(y) = \pi(\beta(\lambda^{-1}, y)^{-1}) f(\lambda^{-1} \cdot y)$$

for the associated cocycle  $\beta: \Lambda \times Y \to \Gamma$ . This model is relevant when thinking of

At any rate,  $\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi$  is a separable coefficient  $\Lambda$ -module, and a unitary representation if E was unitary. The definition (6) implies that the construction is natural and that one has the following transitivity property: If  $\Sigma'$  is a ME coupling of  $\Lambda$  with a further group  $\Delta$ , then

(7) 
$$\Sigma' \mathbf{I}_{\Lambda}^{\Delta} (\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi) \cong (\Sigma' \times_{\Lambda} \Sigma) \mathbf{I}_{\Gamma}^{\Delta} \pi.$$

Here are some elementary properties of the induction operation:

LEMMA 4.1. Let  $\Lambda, \Gamma$  be two countable groups with commuting measure preserving actions on a measure space  $(\Sigma, m)$ . Suppose that the  $\Gamma$ -action admits a finite measure fundamental domain and that the  $\Lambda$ -action admits some fundamental domain. Then, for every unitary  $\Gamma$ -representations  $\pi, \sigma$  one has:

- (i) If π is mixing for Γ then Σ**I**<sup>Λ</sup><sub>Γ</sub>π is mixing for Λ.
  (ii) If π ≺ σ then Σ**I**<sup>Λ</sup><sub>Γ</sub>π ≺ Σ**I**<sup>Λ</sup><sub>Γ</sub>σ.
- (iii)  $\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \ell^2(\Gamma) \cong L^2(\Sigma)$  as  $\Lambda$ -representations.

*Proof.* This follows e.g. from Lemma 6.2 in [Sh], where for (i) we use that the  $\Lambda$ representation on  $L^2(\Sigma)$  is mixing since the  $\Lambda$ -action on  $\Sigma$  admits a fundamental domain.  COROLLARY 4.2. Let M, N be two countable groups with commuting measure preserving actions on a  $\sigma$ -finite measure space  $(\Sigma, m)$ . Suppose that N has a fundamental domain in  $\Sigma$  and that M is amenable and has a finite measure fundamental domain. Then N is amenable, too.

Proof. Recall that by A. Hulanicki's criterion [Hu], a group  $\Lambda$  is amenable if and only if the regular representation  $\ell^2(\Lambda)$  contains weakly the trivial representation  $\mathbf{1}_{\Lambda}$ . Thus by assumption  $\mathbf{1}_{M} \prec \ell^2(M)$ . The first and third points of Lemma 4.1 imply  $\Sigma \mathbf{1}_{M}^{N} \mathbf{1}_{M} \prec L^2(\Sigma)$ . On the other hand,  $\Sigma \mathbf{1}_{M}^{N} \mathbf{1}_{M} = L^2(M \backslash \Sigma)$  contains  $\mathbf{1}_{N}$  since  $M \backslash \Sigma$  has finite measure. Since  $L^2(\Sigma) \cong \bigoplus_{n=1}^{\infty} \ell^2(N)$  we conclude that  $\mathbf{1}_{N} \prec \bigoplus_{n=1}^{\infty} \ell^2(N)$  and thus N is amenable by a generalised version of  $\Lambda$ . Hulanicki's criterion, see [Z2, 7.3.6].

4.2. Cohomological Induction. Given a ME coupling  $(\Sigma, m)$  of two countable groups  $\Lambda, \Gamma$  and separable coefficient  $\Gamma$ -module  $(\pi, E)$ , there is a natural way to induce the bounded cohomology. More specifically, we define a map

(8) 
$$\Sigma \mathbf{i}_{\Gamma}^{\Lambda}: H_{\mathrm{b}}^{n}(\Gamma, \pi) \longrightarrow H_{\mathrm{b}}^{n}(\Lambda, \Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi)$$

as follows. Let  $\chi: \Sigma \to \Gamma$  be a retraction for the  $\Gamma$ -action on  $\Sigma$ . Realise the left hand side of (8) by the complex (4) whose nth term is  $\ell^{\infty}(\Gamma^{n+1}, E)^{\Gamma}$ . Likewise, the nth term for the right hand side is  $\ell^{\infty}(\Lambda^{n+1}, L^{[2]}(\Sigma, E)^{\Gamma})^{\Lambda}$ . For every f in the former, define  $\Sigma \mathbf{i}_{\Gamma}^{\Lambda} f$  in the latter by

(9) 
$$\Sigma \mathbf{i}_{\Gamma}^{\Lambda} f(\lambda_0, \dots, \lambda_n)(x) = f(\chi(\lambda_0^{-1} x), \dots, \chi(\lambda_n^{-1} x)).$$

It is straightforward to verify the

LEMMA 4.3. This map  $\Sigma \mathbf{i}_{\Gamma}^{\Lambda}$  is a well defined linear map ranging in  $\ell^{\infty}(\Lambda^{n+1}, L^{[2]}(\Sigma, E)^{\Gamma})^{\Lambda}$ . Moreover, it is continuous and when n varies one obtains a morphism of complexes.

Observe that the very fact that  $\Sigma \mathbf{i}_{\Gamma}^{\Lambda}$  yields cochains with square-summable coefficients would a priori not be true if we tried to induce general (unbounded) cocycles.

The main result of this section is:

THEOREM 4.4. (Induction) Let  $(\Sigma, m)$  be a ME coupling of countable groups  $\Lambda, \Gamma$ . Then the induction map

$$\Sigma \mathbf{i}_{\Gamma}^{\Lambda}: H_{b}^{2}(\Gamma, \pi) \longrightarrow H_{b}^{2}(\Lambda, \Sigma \mathbf{I}_{\Gamma}^{\Lambda}\pi)$$

is injective for every separable coefficient  $\Gamma$ -module  $(\pi, E)$ . Moreover, it does not depend of the choice of  $\chi$ .

The tools provided by Theorems 3.2 and 3.3 – more specifically, Corollary 3.5 – enable us to deduce Theorem 4.4 from the following:

PROPOSITION 4.5. Let  $(\Sigma, m)$  be a ME coupling of countable groups  $\Lambda, \Gamma$ , let  $\chi : \Sigma \to \Gamma$  be a retraction for the  $\Gamma$ -action on  $\Sigma$  and let  $(\pi, E)$  be a coefficient  $\Gamma$ -module. Then the map

$$\chi^*: \ell^{\infty}(\Gamma^{n+1}, L_{w*}^{\infty}(\Lambda \backslash \Sigma, E))^{\Gamma} \longrightarrow \ell^{\infty}(\Lambda^{n+1}, L_{w*}^{\infty}(\Sigma, E)^{\Gamma})^{\Lambda}$$
$$\chi^* f(\lambda_0, \dots, \lambda_n)(s) = f(\chi(\lambda_0^{-1}s), \dots, \chi(\lambda_n^{-1}s))(s)$$

induces an injection

$$\mathfrak{s}_{\Sigma}: \operatorname{H}^{n}_{\mathrm{b}}(\Gamma, L^{\infty}_{\mathrm{w}*}(\Lambda \backslash \Sigma, E)) \longrightarrow \operatorname{H}^{n}_{\mathrm{b}}(\Lambda, L^{\infty}_{\mathrm{w}*}(\Sigma, E)^{\Gamma}).$$

Moreover,  $\mathfrak{s}_{\Sigma}$  does not depend on the choice of  $\chi$ .

Proof that Proposition 4.5 implies Theorem 4.4. Let E be separable. In view of the formula (9), the induction map  $\Sigma \mathbf{i}_{\Gamma}^{\Lambda}$  factors as

(10) 
$$H_b^n(\Gamma, E) \xrightarrow{\epsilon_*} H_b^n(\Gamma, L^{\infty}(\Lambda \backslash \Sigma, E)) \xrightarrow{\mathfrak{s}_{\Sigma}} H_b^n(\Lambda, L^{\infty}(\Sigma, E)^{\Gamma}) \xrightarrow{\iota_*} H_b^n(\Lambda, L^{[2]}(\Sigma, E)^{\Gamma}),$$

where  $\epsilon: E \to L^{\infty}(\Sigma, E)^{\Lambda}$  is the inclusion of constant functions and  $\iota$  is the inclusion of  $L^{\infty}(\Sigma, E)^{\Gamma}$  into  $L^{[2]}(\Sigma, E)^{\Gamma} = \Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi$ . The map  $\epsilon$  admits a  $\Gamma$ -equivariant right inverse given by integration over the finite measure space  $\Lambda \setminus \Sigma$ . Therefore, the first map in (10) is injective. The second map is injective and independent of  $\chi$  by Proposition 4.5. Since  $\iota$  is the dual of the inclusion of  $L^{[2]}(\Sigma, E)^{\Gamma}$  into  $L^{[1]}(\Sigma, E)^{\Gamma}$  and  $L^{[2]}(\Sigma, E)^{\Gamma}$  is separable, we are in situation to apply Corollary 3.5 and  $\iota_*$  is also injective for n=2. This is the only point where we use the separability of E and n=2.

Thus we are left to prove Proposition 4.5. Given the importance of Theorem 4.4 for the paper, we shall present two proofs: first, we give a functorial proof that actually shows more. Then, for the convenience of a reader who would want to avoid the use of cohomological machinery, we outline an independent down-to-earth proof.

# 4.3. Functorial Proof. We prove a more general "reciprocity" statement:

PROPOSITION 4.6. Let  $\Lambda, \Gamma$  be countable groups, S a standard measure space with measure class preserving  $\Lambda \times \Gamma$ -action and let  $(\pi, E)$  be a coefficient  $\Lambda \times \Gamma$ -module.

If both the  $\Lambda$ - and  $\Gamma$ -actions on S are amenable, then there is a canonical isomorphism

(11) 
$$\mathfrak{s}: \operatorname{H}_{\mathrm{b}}^{n}(\Gamma, L_{\mathrm{w}*}^{\infty}(S, E)^{\Lambda}) \cong \operatorname{H}_{\mathrm{b}}^{n}(\Lambda, L_{\mathrm{w}*}^{\infty}(S, E)^{\Gamma})$$

for all  $n \geq 0$ . Moreover, if the  $\Gamma$ -action on S admits a retraction  $\chi: S \to \Gamma$ , then the map

$$\chi^*: \ell^{\infty} \left(\Gamma^{n+1}, L_{\mathbf{w}*}^{\infty}(S, E)^{\Lambda}\right)^{\Gamma} \longrightarrow \ell^{\infty} \left(\Lambda^{n+1}, L_{\mathbf{w}*}^{\infty}(S, E)^{\Gamma}\right)^{\Lambda}$$
$$\chi^* f(\lambda_0, \dots, \lambda_n)(s) = f(\chi(\lambda_0^{-1}s), \dots, \chi(\lambda_n^{-1}s))(s)$$

 $induces \mathfrak{s}$  on cohomology.

This implies indeed Proposition 4.5 since an action with fundamental domain is amenable (and the  $\Lambda$ -representation on E is taken to be trivial).

Proof of Proposition 4.6. The  $\Lambda \times \Gamma$ -action on  $\Gamma^{n+1} \times S$  given by diagonal Γ-action on  $\Gamma^{n+1} \times S$  and  $\Lambda$ -action on S is amenable because it is isomorphic to the  $\Lambda \times \Gamma$ -action given by diagonal Γ-action on  $\Gamma^{n+1}$  and  $\Lambda$ -action on S. Therefore the coefficient  $\Lambda \times \Gamma$ -module

$$\ell^{\infty}(\Gamma^{n+1}, L_{w*}^{\infty}(S, E)) \cong L_{w*}^{\infty}(\Gamma^{n+1} \times S, E)$$

is relatively injective, see [M, N° 5.7.1]. Likewise,  $\ell^{\infty}(\Lambda^{n+1}, L_{w*}^{\infty}(S, E))$  is relatively injective for  $\Lambda \times \Gamma$ . Therefore, there is a  $\Lambda \times \Gamma$ -morphism of complexes  $\ell^{\infty}(\Gamma^{\bullet+1}, L_{w*}^{\infty}(S, E)) \to \ell^{\infty}(\Lambda^{\bullet+1}, L_{w*}^{\infty}(S, E))$  and any two such morphisms are  $\Lambda \times \Gamma$ -homotopic. (This follows from [M, Sec. 7]; the complexes above have indeed a contracting homotopy by evaluation of the first variable.) In particular – and due to the symmetry between  $\Lambda$  and  $\Gamma$  – there is a canonical isomorphism between the cohomology of the associated non-augmented complexes of  $\Lambda \times \Gamma$ -invariants. But those identify to the complexes with nth term  $\ell^{\infty}(\Gamma^{n+1}, L_{w*}^{\infty}(S, E)^{\Lambda})^{\Gamma}$  respectively  $\ell^{\infty}(\Lambda^{n+1}, L_{w*}^{\infty}(S, E)^{\Gamma})^{\Lambda}$ , which compute canonically both sides of (11). Whence the first part of the proposition.

If now we have a retraction  $\chi$ , then we observe that the formula for  $\chi^*$  also yields an example of such a morphism of complexes, thus inducing the same map since all morphisms are  $\Lambda \times \Gamma$ -homotopic.

Observe that this proof shows that both sides in (11) are canonically isomorphic to  $H_b^n(\Gamma \times \Lambda, L_{w*}^{\infty}(S, E))$ . (Note that this situation contrasts sharply with Theorem 3.7 and illustrates the necessity of the separability assumption in the latter, an assumption not satisfied by  $L_{w*}^{\infty}(S, E)$  above.)

4.4. **Another Proof.** We briefly indicate here another way to deduce Proposition 4.5, starting with an elementary proof for a special case (Lemma 4.8) and then dealing with increasing levels of generality. First we state the following fact, skipping the tedious verification of the computation (recall that it follows anyway from the previous functorial proof).

LEMMA 4.7. The map  $\mathfrak{s}_{\Sigma}$  in Proposition 4.5 does not depend on the choice of  $\chi$ .  $\square$ 

LEMMA 4.8. Proposition 4.5 holds if the  $\Lambda$ -action on  $\Sigma$  admits a fundamental domain contained in some fundamental domain for the  $\Gamma$ -action.

*Proof.* In that case, we can choose retractions  $\chi: \Sigma \to \Gamma$  and  $\kappa: \Sigma \to \Lambda$  such that  $\kappa^{-1}(e_{\Lambda}) \subseteq \chi^{-1}(e_{\Gamma})$ . If we define now  $\kappa^*$  by a formula analogous to that of Proposition 4.5, we have

$$\kappa^* \chi^* f(\gamma_0, \dots, \gamma_n)(s) = \chi^* f(\kappa(\gamma_0^{-1} s), \dots, \kappa(\gamma_n^{-1} s))(s) =$$

$$= f(\chi(\kappa(\gamma_0^{-1} s)^{-1} s), \dots, \chi(\kappa(\gamma_n^{-1} s)^{-1} s))(s).$$

Since we have

$$\kappa(\gamma_i^{-1}s)^{-1}s = \gamma_i \kappa(\gamma_i^{-1}s)^{-1} \gamma_i^{-1}s \in \gamma_i \kappa^{-1}(e_{\Lambda}) \subseteq \gamma_i \chi^{-1}(e_{\Gamma}),$$

we deduce  $\kappa^* \chi^* f = f$  so that  $\chi^*$  has a left inverse as morphism of complexes. This implies the injectivity of  $\mathfrak{s}_{\Sigma}$  in view of Lemma 4.7.

COROLLARY 4.9. Proposition 4.5 holds if the coupling is ergodic and  $[\Gamma : \Lambda]_{\Sigma} \leq 1$ .

*Proof.* In the ergodic situation, the full group of automorphisms of the  $\Gamma \times \Lambda$ -action on  $\Sigma$  acts transitively on sets of equal measure (up to null-sets). Therefore, we can find a  $\Lambda$ -fundamental domain contained in some fundamental domain for the  $\Gamma$ -action. Now we can apply Lemma 4.8 and 4.7.

PROPOSITION 4.10. Proposition 4.5 holds if  $[\Gamma : \Lambda]_{\Sigma} \leq 1$  (without ergodicity assumption).

*Proof.* By Lemma 2.2 in [F1], any ME coupling  $(\Sigma, m)$  can be disintegrated into ergodic couplings  $(\Sigma_t, m_t)$  with  $m = \int_T m_t d\eta(t)$  for some probability space  $(T, \eta)$ . The set

$$T_{+} = \left\{ t \in T : [\Gamma : \Lambda]_{\Sigma_{t}} \le 1 \right\}$$

has positive  $\eta$ -measure since  $[\Gamma : \Lambda]_{\Sigma} \leq 1$ . Let  $\Sigma_+$  be the coupling obtained by integrating  $m_t$  over  $T_+$ ; using Corollary 3.4, one checks that the injectivity of  $\Sigma_+ \mathbf{i}_{\Gamma}^{\Lambda}$  follows from the injectivity of  $\eta$ -a.e.  $\Sigma_t \mathbf{i}_{\Gamma}^{\Lambda}$ , which is granted by Corollary 4.9. One checks similarly that the injectivity of  $\Sigma_+ \mathbf{i}_{\Gamma}^{\Lambda}$  follows from the injectivity of  $\Sigma_+ \mathbf{i}_{\Gamma}^{\Lambda}$ .  $\square$ 

Using Lemma 4.7, one verifies:

LEMMA 4.11. Let Σ be a ME coupling of countable groups  $\Lambda$ ,  $\Gamma$  and  $\Sigma'$  a ME coupling of countable groups  $\Delta$ ,  $\Lambda$ . Then, for every coefficient  $\Gamma$ -module  $(\pi, E)$  we have  $\mathfrak{s}_{\Sigma'}\mathfrak{s}_{\Sigma} = \mathfrak{s}_{\Sigma'\times_{\Lambda}\Sigma}$  and hence  $\Sigma'\mathbf{i}_{\Lambda}^{\Delta}(\Sigma\mathbf{i}_{\Gamma}^{\Lambda}\pi) = (\Sigma'\times_{\Lambda}\Sigma)\mathbf{i}_{\Gamma}^{\Delta}\pi$ .

End of second proof of Proposition 4.5. Consider the composed ME coupling of  $\Gamma$  with itself  $\Omega = \check{\Sigma} \times_{\Lambda} \Sigma$ . In view of Lemma 4.11, it is enough to show that the map

$$\mathfrak{s}_{\Omega}: \operatorname{H}_{\mathrm{b}}^{n}(\Gamma, L_{\mathrm{w}*}^{\infty}(\Gamma \backslash \Omega, E)) \longrightarrow \operatorname{H}_{\mathrm{b}}^{n}(\Gamma, L_{\mathrm{w}*}^{\infty}(\Omega, E)^{\Gamma})$$

defined as in Proposition 4.5 is injective. By (1) we have

$$[\Gamma : \Gamma]_{\Omega} = [\Gamma : \Lambda]_{\Sigma} \cdot [\Lambda : \Gamma]_{\check{\Sigma}} = 1,$$

so that we may conclude by applying Proposition 4.10 to  $\Omega$ .

## 5. Strong Rigidity

5.1. Strong Rigidity for Products. Our first goal is to prove Theorem 1.16 from the Introduction, for the more general class of groups  $\mathcal{C}$  defined in 2.15. The use of bounded cohomology in the proof of Theorem 1.16 is detailed in the following result which we isolate for further reference:

PROPOSITION 5.1. Let  $(\Sigma, m)$  be a ME coupling of  $\Gamma = \Gamma_1 \times \cdots \times \Gamma_n$  with  $\Lambda = \Lambda_1 \times \cdots \times \Lambda_{n'}$ , where  $\Gamma_i$  are torsion-free groups in C and  $\Lambda_j$  are any countable groups.

Then there is a surjective map  $t: \{1, \ldots, n\} \to \{1, \ldots, n'\}$  and a fundamental domain  $Y \subseteq \Sigma$  for the  $\Gamma$ -action such that  $\Lambda'_{t(i)}Y \subseteq \Gamma'_iY$  for all i.

Moreover, if we assume n = n', then  $\Lambda_{t(i)} Y \subseteq \Gamma_i Y$  for all i and the groups  $\Lambda_j$  are also in C.

Proof of the Proposition. First we perform an induction on  $1 \le k \le n$  to construct a map t and fundamental domains  $Y_k \subseteq \Sigma$  for the  $\Gamma$ -action such that

(12) 
$$\Lambda'_{t(i)}Y_k \subseteq \Gamma'_iY_k \qquad \forall \ 1 \le i \le k.$$

Let  $\pi_1$  be a mixing unitary representation of  $\Gamma_1$  such that  $H_b^2(\Gamma_1, \pi_1) \neq 0$ . We also denote by  $\pi_1$  the corresponding representation of  $\Gamma$  (factoring through  $\Gamma_1$ ), and recall that by Corollary 3.6 we have  $H_b^2(\Gamma, \pi_1) \neq 0$ . Therefore, applying Theorem 4.4, we deduce that  $H_b^2(\Lambda, \Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi_1)$  is non-trivial. We apply now the product formula of Theorem 3.7 to find some  $1 \leq t(1) \leq n'$  such that

(13) 
$$H_b^2\left(\Lambda_{t(1)}, (\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi_1)^{\Lambda'_{t(1)}}\right) \neq 0.$$

Let  $Y_0$  be any fundamental domain for  $\Gamma$ , and  $\beta: \Lambda \times Y_0 \to \Gamma$  be the associated cocycle. Take for  $\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi_1$  the model  $L^2(Y_0, \mathcal{H}_{\pi_1})$  with  $\beta$ -twisted action. Since (13) forces  $(\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi_1)^{\Lambda'_{t(1)}} \neq 0$ , this amounts to the existence of a non-zero measurable function  $f: Y_0 \to \mathcal{H}_{\pi_1}$  such that  $f(\lambda' \cdot x) = \pi_1(\beta(\lambda', x))f(x)$  for every  $\lambda' \in \Lambda'_{t(1)}$  and almost every  $x \in Y_0$ . Since the  $\Gamma_1$ -representation on  $\mathcal{H}_{\pi_1}$  is mixing, the  $\Gamma$ -representation is tame and thus the cocycle reduction lemma (Lemma 5.2.11 in [Z2]) can be applied to the restriction  $\beta: \Lambda'_{t(1)} \times Y_0 \to \Gamma$ . Mind that the  $\Lambda'_{t(1)}$ -action on  $Y_0$  is not assumed ergodic, but since  $\Gamma_1$  is torsion-free and  $\pi_1(\Gamma_1)$  mixing, the only possible stabiliser in  $\Gamma$  of non-zero elements of  $\mathcal{H}_{\pi_1}$  is  $\Gamma'_1$ . Thus cocycle reduction applied to every ergodic component yields a measurable  $\varphi: Y_0 \to \Gamma$  such that

$$\beta'(\lambda, x) = \varphi(\lambda \cdot x)\beta(\lambda, x)\varphi(x)^{-1}$$

ranges in  $\Gamma'_1$  whenever  $\lambda \in \Lambda'_{t(1)}$ . Observe that if we replace  $\varphi$  by its composition with the projection  $\Gamma \to \Gamma_1 < \Gamma$  and take for  $\beta'$  the resulting cocycle  $\beta' : \Lambda \times Y_0 \to \Gamma$ , we have still  $\beta'(\Lambda'_{t(1)} \times Y_0) \subseteq \Gamma'_1$  almost everywhere; we make this change. We consider the new fundamental domain

$$Y_1 = \{ \varphi(x)x : x \in Y_0 \}$$

for the  $\Gamma$ -action on  $\Sigma$ . For every  $\lambda' \in \Lambda'_{t(1)}$  and almost every  $x \in Y_0$  we have

$$\lambda'\varphi(x)x = \varphi(x)\lambda'x = \varphi(x)\beta(\lambda',x)^{-1}\lambda' \cdot x =$$

$$= (\varphi(\lambda' \cdot x)\beta(\lambda',x)\varphi(x)^{-1})^{-1}\varphi(\lambda' \cdot x)\lambda' \cdot x \in \Gamma'_1\varphi(\lambda' \cdot x)\lambda' \cdot x \subseteq \Gamma'_1Y_1.$$

This shows

$$\Lambda'_{t(1)}Y_1 \subseteq \Gamma'_1Y_1.$$

Let now  $k \geq 2$  and assume that we have  $Y_{k-1}$  and  $t : \{1, \ldots, k-1\} \to \{1, \ldots, n'\}$  such that (12) holds for k-1. We take now a mixing unitary representation  $\pi_k$  of  $\Gamma_k$  such that  $H^2_b(\Gamma_k, \pi_k) \neq 0$ . Arguing as above, there is  $1 \leq t(k) \leq n'$  with

(15) 
$$H_{b}^{2}\left(\Lambda_{t(k)}, \left(\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi_{k}\right)^{\Lambda'_{t(k)}}\right) \neq 0.$$

and thus  $(\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi_k)^{\Lambda'_{t(k)}} \neq 0$ . We perform again a reduction of cocycle and obtain as above a map  $\psi: Y_{k-1} \to \Gamma_k$  such that

$$Y_k = \left\{ \psi(x)x : x \in Y_{k-1} \right\}$$

is a fundamental domain for the  $\Gamma$ -action on  $\Sigma$  satisfying

$$\Lambda'_{t(k)}Y_k \subseteq \Gamma'_kY_k.$$

We claim that  $Y_k$  still satisfies

(17) 
$$\Lambda'_{t(i)}Y_k \subseteq \Gamma'_iY_k \qquad \forall \ 1 \le i \le k-1.$$

Indeed, for all  $\lambda' \in \Lambda'_{t(i)}$  and almost every  $x \in Y_{k-1}$  we have  $\lambda' \psi(x) x = \psi(x) \lambda' x$  which by (14) is  $\psi(x) \gamma' y$  for some  $\gamma' \in \Gamma'_i$  and  $y \in Y_{k-1}$ . Thus

$$\lambda' \psi(x) x = (\psi(x) \gamma' \psi(y)^{-1}) \psi(y) y \in \Gamma'_i Y_k,$$

as claimed.

Thus (16) and (17) complete the induction step to prove (12). We let now  $Y = Y_k$ , so that for the first claim of the Proposition it remains only to show that t is surjective onto  $\{1, \ldots, n'\}$ . Suppose for a contradiction that there is  $1 \leq j \leq n'$  not in the image of t. Then  $\Lambda_j$  is contained in  $\bigcap_{i=1}^n \Lambda'_{t(i)}$ , so that in view of (12) we have

$$\Lambda_j Y \subseteq \bigcap_{i=1}^n \Gamma_i' Y = Y,$$

contradicting the properness of the  $\Lambda$ -action, since  $\Lambda_j$  is non-trivial.

Under the additional assumption n = n', the map t must be bijective. Then, using (12), we have for all  $1 \le i \le n$  the inclusion

(18) 
$$\Lambda_{t(i)}Y = \bigcap_{j \neq t(i)} \Lambda'_{j}Y \subseteq \bigcap_{\ell \neq i} \Gamma'_{\ell}Y = \Gamma_{i}Y,$$

as claimed. It remains only to show that  $\Lambda_{t(i)}$  is in C for all i. Let  $\pi_i$  be the mixing  $\Gamma_i$ -representation that gave (15) for k = i in the inductive argument above; in particular (15) implies

(19) 
$$H_{b}^{2}\left(\Lambda_{t(i)}, \Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi_{i}\right) \neq 0.$$

The inclusion (18) shows that the set  $\Sigma_i = \Gamma_i Y \subseteq \Sigma$  is  $\Lambda_{t(i)} \times \Gamma_i$ -invariant. The  $\Lambda_{t(i)}$ -action on  $\Sigma_i$  admits some fundamental domain because it is a subspace of  $\Sigma$ , and the  $\Gamma_i$ -action admits the finite measure fundamental domain Y. Therefore the  $\Lambda_{t(i)}$ -representation  $\tau = \Sigma_i \mathbf{I}_{\Gamma_i}^{\Lambda_{t(i)}} \pi_i$  is mixing by point (i) in Lemma 4.1. On the other hand, since  $\Sigma_i$  is a fundamental domain for the  $\Gamma'_i$ -action on  $\Sigma$ , and  $\Gamma'_i$  acts trivially on  $\mathcal{H}_{\pi_i}$ , we have

$$\mathcal{H}_{\tau} = L^{[2]}(\Sigma_i, \mathcal{H}_{\pi_i})^{\Gamma_i} \cong L^{[2]}(\Sigma, \mathcal{H}_{\pi_i})^{\Gamma}.$$

Therefore, the  $\Lambda_{t(i)}$ -representation  $\tau$  is isomorphic to the restriction to  $\Lambda_{t(i)}$  of  $\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi_{i}$ . Thus (19) shows indeed that  $\Lambda_{t(i)}$  is in  $\mathcal{C}$ .

Proof of Theorem 1.16 for the class C instead of  $C_{reg}$ . Whenever two groups  $\Gamma$ ,  $\Lambda$  are measure equivalent, one can assume by disintegration that there is an ergodic ME coupling  $(\Sigma, m)$  of  $\Gamma$  with  $\Lambda$ , see [F1, 2.2]. First we apply Proposition 5.1 to obtain a bijection t and a  $\Gamma$ -fundamental domain Y with  $\Lambda_{t(i)}Y \subseteq \Gamma_i Y$  for all i. Since by the proposition all  $\Lambda_j$  are in C and n = n', the assumptions of the theorem (with class C) now also hold if we reverse  $\Gamma$  and  $\Lambda$ . Therefore, a second application of Proposition 5.1 gives us a bijection s and a fundamental domain X for the  $\Lambda$ -action on  $\Sigma$  such that  $\Gamma_{s(j)}X \subseteq \Lambda_j X$  for all j. Since all groups commute, the properties of our  $\Gamma$ -fundamental domain Y are not altered if we translate it by an element of  $\Gamma$ ; therefore, we may and do assume that the intersection  $A = X \cap Y$  has positive measure. We claim that the bijections s and t are inverse to each other.

Indeed, pick  $1 \leq j \leq n$ , write i = s(j) and let us show that t(i) = j. Write  $A_{\Gamma}$  for the image of A in  $\Gamma \backslash \Sigma$  and apply Poincaré recurrence to the  $\Lambda_{t(i)}$ -action on  $\Gamma \backslash \Sigma$ . This yields a non-trivial element  $\lambda \in \Lambda_{t(i)}$  such that  $\lambda A_{\Gamma} \cap A_{\Gamma}$  has positive measure. Therefore, there is  $\gamma \in \Gamma$  such that  $B = \lambda A \cap \gamma A$  has positive measure. Since  $A \subseteq Y$ , the inclusion  $\Lambda_{t(i)}Y \subseteq \Gamma_i Y$  implies  $B \subseteq \gamma Y \cap \Gamma_i Y$  and hence  $\gamma \in \Gamma_i$ . But now  $\gamma A \subseteq \Gamma_i X = \Gamma_{s(j)} X \subseteq \Lambda_j X$  so that  $B \subseteq \Lambda_j X \cap \lambda X$ . It follows that  $\lambda \in \Lambda_j$ , and since  $\lambda$  is non-trivial we conclude t(i) = j, proving the claim.

In conclusion, we may permute the indices in such a way that

(20) 
$$\Lambda_i Y \subseteq \Gamma_i Y \quad \text{and} \quad \Gamma_i X \subseteq \Lambda_i X \qquad \forall \ 1 \le i \le n.$$

Choose now some i and let  $\overline{\Sigma}$  be the space of ergodic components of the  $\Gamma'_i \times \Lambda'_i$ -action on  $\Sigma$ . We shall show that for an appropriate measure  $\nu$  the space  $(\overline{\Sigma}, \nu)$  yields a ME coupling of  $\Gamma_i$  with  $\Lambda_i$  for the natural  $\Gamma_i \times \Lambda_i$ -action inherited from  $\Sigma$ . Note that we cannot take for  $\nu$  the projection of m, since this could e.g. give infinite measure to every point in  $\overline{\Sigma}$ . Instead, we identify  $\overline{\Sigma}$  with the space of ergodic components of the  $\Lambda'_i$ -action on  $\Gamma'_i \setminus \Sigma$ , the latter being measurably identified with  $\Gamma_i Y$ . We let  $\nu$  be the projection of  $m|_{\Gamma_i Y}$  under the corresponding map  $\Gamma_i Y \to \overline{\Sigma}$ .

We claim that  $\Gamma_i$  has a  $\nu$ -finite fundamental domain in  $\overline{\Sigma}$ . Indeed, let  $\overline{Y}$  be the image of Y in  $\overline{\Sigma}$ ; one has  $\Gamma_i \overline{Y} = \overline{\Sigma}$  and  $\nu(\overline{Y})$  is finite because in view of (20) the pre-image of  $\overline{Y}$  in  $\Gamma'_i \backslash \Sigma$  is just Y under the identification  $\Gamma'_i \backslash \Sigma \cong \Gamma_i Y$ . Therefore, to conclude that  $\overline{Y}$  is a finite measure fundamental domain for  $\Gamma_i$  in  $(\overline{\Sigma}, \nu)$ , it remains

to show that  $\gamma_i \in \Gamma_i$  must be trivial whenever  $\gamma_i \overline{Y} \cap \overline{Y}$  is non-null for  $\nu$ . This is indeed the case, since then  $\gamma_i \Gamma_i' \Lambda_i' Y \cap \Gamma_i' \Lambda_i' Y$  has positive measure in  $\Sigma$ ; by (20), this set is  $\gamma_1 \Gamma_i' Y \cap \Gamma_i' Y$  so that  $\gamma_i \in \Gamma_i'$  and hence  $\gamma_i$  is trivial.

Arguing in a symmetric manner, we consider the a priori different measure  $\nu'$  on  $\overline{\Sigma}$  obtained by projecting  $m|_{\Lambda_i X}$  under  $\Lambda_i X \to \overline{\Sigma}$  and deduce that  $\Lambda_i$  has a  $\nu'$ -finite fundamental domain  $\overline{X}$  in  $\overline{\Sigma}$ . In order to complete the proof that  $(\overline{\Sigma}, \nu)$  yields a ME coupling of  $\Gamma_i$  with  $\Lambda_i$ , it is enough to show that  $\nu'$  is a scalar multiple of  $\nu$ . The measures  $\nu, \nu'$  are absolutely continuous with respect to each other since they are projected from  $\Gamma_i Y$  and  $\Lambda_i X$  respectively. Since we started by reducing to an ergodic ME coupling  $(\Sigma, m)$ , the measures  $\nu, \nu'$  are both ergodic for the  $\Gamma_i \times \Lambda_i$ -action, so due to absolute continuity they are a scalar multiple one of the other. This completes the proof of Theorem 1.16.

Proof of Theorem 2.17. Proposition 5.1 shows that the groups  $\Lambda_j$  are also in  $\mathcal{C}$ . Therefore, under the assumption (ii) the situation is symmetric and we may switch  $\Gamma$  with  $\Lambda$  if necessary so that in either case the assumption (i) holds; in other words, we may assume

(21) 
$$m(\Gamma \backslash \Sigma) \geq m(\Lambda \backslash \Sigma).$$

Moreover, Proposition 5.1 gives us a fundamental domain  $Y \subseteq \Sigma$  for  $\Gamma$  such that after possibly permuting indices

(22) 
$$\Lambda_i Y \subseteq \Gamma_i Y \qquad (1 \le i \le n).$$

Fix some i. By the above, the subset  $C = \Gamma'_i Y \subseteq \Sigma$  is preserved by  $\Lambda'_i \times \Gamma'_i$ . Since  $C \cong \Gamma_i \backslash \Sigma$ , the ergodicity of  $\Lambda'_i$  on  $\Gamma \backslash \Sigma$  implies that C is an ergodic component of the  $\Lambda'_i \times \Gamma'_i$ -action on  $\Sigma$ . We know from the proof of Theorem 1.16 that the space  $\overline{\Sigma}$  of ergodic components is a ME coupling of  $\Lambda_i$  with  $\Gamma_i$  for the measure projected from  $m|_{\Gamma_i Y}$  (indeed, the ergodicity assumption of that proof is implied by the irreducibility of  $\Lambda$  on  $\Gamma \backslash \Sigma$ ). Now C corresponds to an atom in  $\overline{\Sigma}$  and thus the  $\Gamma'_i$ -invariant partition  $\Sigma = \bigsqcup_{\gamma_i \in \Gamma_i} \gamma_i C$  shows that  $\Gamma_i$  acts simply transitively on  $\overline{\Sigma}$ .

Since C is an ergodic component and all actions commute,  $\lambda_i C \cap C$  can only be C or  $\varnothing$  (up to null-sets) when  $\lambda_i \in \Lambda_i$ . The first case implies in particular  $\lambda_i Y \subseteq C = \Gamma_i' Y$ . But (22) gives  $\lambda_i Y \subseteq \Gamma_i Y$  and thus  $\lambda_i Y \subseteq Y$ . Since  $\Lambda_i$  is torsion-free and acts properly on  $\Sigma$ , we conclude that  $\lambda_i$  is trivial. We have shown that  $\Lambda_i$  acts freely on  $\overline{\Sigma}$ , and also that the sets  $\lambda_i Y$  are mutually disjoint.

A dual argument shows that all  $\lambda_j Y$  are mutually disjoint when  $\lambda_j$  ranges over  $\Lambda_j$  and  $1 \leq j \leq n$ . We claim that this is also the case for all  $\lambda Y$  with  $\lambda \in \Lambda$ . Indeed, assume that  $\lambda Y \cap Y$  is non-null and let us show that the component  $\lambda_k$  of  $\lambda$  in  $\Lambda_k$  vanishes for any k. Write  $\lambda = \lambda_k \lambda'$  with  $\lambda' \in \Lambda'_k$ . Then the set  $\lambda' Y \cap \lambda_k^{-1} Y$  is non-null; however, the inclusions (22) give

$$\lambda' Y \cap \lambda_k^{-1} Y \subseteq \Gamma_k' Y \cap \Gamma_k Y = Y$$

and therefore  $\lambda_k Y \cap Y$  has positive measure. By what we already know, this makes  $\lambda_k$  trivial as claimed.

The claim just proved forces Y to be a fundamental domain for the  $\Lambda$ -action on  $\Sigma$  because of the inequality (21). In particular, we have  $[\Lambda : \Gamma]_{\Sigma} = 1$  and

(23) 
$$\Lambda_j Y = \Gamma_j Y \qquad (1 \le j \le n).$$

Thus, returning now to fix some i, the arguments used to show that  $\Gamma_i$  acts simply transitively on  $\overline{\Sigma}$  can be reversed to show that  $\Lambda_i$  does so too. Hence the coupling  $\overline{\Sigma}$  is trivial (see Example 2.7) and yields an isomorphism  $f_i:\Lambda_i\to\Gamma_i$ . Observe that  $\overline{\Sigma}$  can be viewed as the space of ergodic components of the  $\Lambda'_i$ -action induced on  $\Gamma_i Y$  via  $\Gamma_i Y \cong \Gamma'_i \backslash \Sigma$ , and therefore by (23) it is the space of ergodic components of the corresponding action on  $\Lambda_i Y$ . These components are precisely the  $\Lambda_i$ -translates of Y by irreducibility; we choose for  $f_i$  the conjugate obtained by fixing as base point in  $\overline{\Sigma}$  the component corresponding to Y in the above identification. We apply the whole argument to each index i and observe that with our choice of  $f_i$  we have indeed

$$\Pi_{i=1}^{n} (\lambda_i f_i(\lambda_i)) Y = Y$$

for all  $\lambda_i \in \Lambda_i$ .

5.2. **Strong Rigidity with Radicals.** The main goal of this subsection is to prove Theorem 2.23, which will be actually simpler than in the product case (unlike the case of the superrigidity theorem). We begin by proving a more general version of Theorem 1.17:

Proof of Theorem 1.17 for the class C instead of  $C_{reg}$ . Let  $(\Sigma, m)$  be a ME coupling of  $\Gamma$  with  $\Lambda$ ; as mentioned before, we may assume it is ergodic [F1, 2.2]. The argument is similar to a part of the proof of Theorem 1.16, and we shall prove that the space  $(\overline{\Sigma}, \nu)$  or ergodic components of the  $M \times N$ -action on  $\Sigma$  is a ME coupling of  $\overline{\Gamma}$  with  $\overline{\Lambda}$  for an appropriate measure  $\nu$ . Let  $m_N$  be the measure m restricted to  $C = N \setminus \Sigma$  (e.g. via a  $\Lambda$ -fundamental domain in  $\Sigma$  and a section of  $\Lambda/N$ ) and take for  $\nu$  the projection of  $m_N$  under the map  $C \to \overline{\Sigma}$ . As in Theorem 1.16, the ergodicity implies that one obtains the same measure up to a scalar by proceeding with  $M \setminus \Sigma$  instead. Thus, due to the symmetry of the situation, it is enough to show that  $\overline{\Lambda}$  has a  $\nu$ -finite measure fundamental domain in  $\overline{\Sigma}$ .

Given a mixing unitary representation  $\pi$  of  $\overline{\Lambda}$  with non-vanishing  $\mathrm{H}^2_\mathrm{b}$ , we use Corollary 3.6 and Theorem 4.4 exactly as in the proof of Proposition 5.1 to deduce that  $\mathrm{H}^2_\mathrm{b}(\Gamma, \Sigma \mathbf{I}^\Gamma_\Lambda \pi)$  is non-trivial. By Proposition 3.8, the space  $(\Sigma \mathbf{I}^\Gamma_\Lambda \pi)^M$  is non-trivial. Given a fundamental domain  $X' \subseteq \Sigma$  for  $\Lambda$  and the associated cocycle  $\alpha: \Gamma \times X' \to \Lambda$ , we obtain a non-zero measurable function  $f: X' \to \mathcal{H}_\pi$  such that  $f(\gamma \cdot x) = \pi(\alpha(\gamma, x)) f(x)$  for every  $\gamma \in M$  and almost every  $x \in X'$ . Since  $\pi$  is tame as  $\Lambda$ -representation, we may apply cocycle reduction for the restriction  $\alpha: M \times X' \to \Lambda$  in the non-ergodic setting. We assumed  $\overline{\Lambda}$  torsion-free and  $\pi$  mixing, so the only possible stabiliser in  $\Lambda$  is N. Therefore, the reduction provides

us with a measurable map  $\varphi: X' \to \Lambda$  such that  $\varphi(\gamma \cdot x)\alpha(\gamma, x)\varphi(x)^{-1}$  is in N for every  $\gamma \in M$  and almost every  $x \in X'$ . Now the new  $\Lambda$ -fundamental domain  $X = \{\varphi(x)x : x \in X'\}$  satisfies

$$(24) MX \subseteq NX$$

because for almost every  $x \in X'$  and all  $\gamma \in M$  we have

$$\gamma \varphi(x)x = \varphi(x)\gamma x = \varphi(x)\alpha(\gamma, x)^{-1}\gamma \cdot x$$
$$= (\varphi(\gamma_2 \cdot x)\alpha(\gamma_2, x)\varphi(x)^{-1})^{-1}(\varphi(\gamma \cdot x)\gamma \cdot x)$$

which is in NX.

We turn now back to  $\overline{\Sigma}$ . Write  $\overline{X}$  for the image of X in  $\overline{\Sigma}$ . We claim that for every  $\lambda \in \Lambda$  the sets  $\lambda \overline{X}$  and  $\overline{X}$  are  $\nu$ -essentially disjoint unless  $\lambda \in N$ . Indeed, otherwise the  $N \times M$ -invariant subset  $NM\lambda X \cap NMX$  of  $\Sigma$  would have positive m-measure. Then (24) implies  $m(N\lambda X \cap NX) > 0$  and thus  $\lambda \in N$ , as claimed. On the other hand, the  $\Lambda$ -translates of  $\overline{X}$  cover  $\overline{\Sigma}$ .

It remains to see that  $\nu(\overline{X})$  is finite. View C as a  $\Gamma \times \overline{\Lambda}$ -space and let  $X_N$  be the image of X in C; we have  $m_N(X_N) = m(X)$  since X is a  $\Lambda$ -fundamental domain. Consider now  $\overline{\Sigma}$  as the space of ergodic components of M in C. By (24) M preserves  $X_N$  and so  $\nu(\overline{X})$  is finite.

Proof of Theorem 2.23. Let  $\overline{\Sigma}$  and X be as in the proof of Theorem 1.17. The subset  $B = NX \subseteq \Sigma$  is  $M \times N$ -invariant by (24). Since M is ergodic on  $\Lambda \setminus \Sigma$ , B is an ergodic component for the  $M \times N$ -action on  $\Sigma$ . It follows now from the proof of Theorem 1.17 that  $\overline{\Lambda}$  acts simply transitively on  $T = \overline{\Sigma}$ . By symmetry, we see that  $\overline{\Gamma}$  acts also simply transitively on T and the statement follows.

## 6. Superrigidity

## 6.1. Preliminaries.

LEMMA 6.1. Let  $(Z, \zeta)$  be a ME coupling of countable groups  $\Gamma, \Lambda$ . Let  $E \subseteq Z$  be a fundamental domain for  $\Gamma$  and  $\vartheta : \Lambda \times E \to \Gamma$  the associated cocycle. If  $\vartheta$  is equivalent to a cocycle ranging  $\zeta$ -essentially in a subgroup  $\Gamma_0 < \Gamma$ , then  $\Gamma_0$  has finite index in  $\Gamma$ .

*Proof.* Let  $\chi: Z \to \Gamma$  be the  $\Gamma$ -equivariant retraction associated to F and assume that there is  $\varphi: F \to \Gamma$  such that the cocycle  $\vartheta'$  defined by

$$\vartheta'(\lambda, x) = \varphi(\lambda \cdot x)\vartheta(\lambda, x)\varphi(x)^{-1}$$

ranges in  $\Gamma_0$ . Define  $\widetilde{\varphi}: Z \to \Gamma/\Gamma_0$  by

$$\widetilde{\varphi}(x) = \chi(x)\varphi(\chi(x)^{-1}x)^{-1}\Gamma_0;$$

this is  $\Gamma$ -equivariant. For  $x \in F$ ,  $\lambda \in \Lambda$  and  $\gamma \in \Gamma$  one has

$$\widetilde{\varphi}(\lambda \gamma x) = \gamma \chi(\lambda x) \varphi(\chi(\lambda x)^{-1} \lambda x)^{-1} \Gamma_0 = \gamma \chi(\lambda x) \varphi(\lambda \cdot x)^{-1} \Gamma_0$$
$$= \gamma \chi(\lambda x) \varphi(\lambda \cdot x)^{-1} \vartheta'(\lambda, x)^{-1} \Gamma_0 = \gamma \chi(\lambda x) \vartheta(\lambda, x) \varphi(x)^{-1} \Gamma_0.$$

Since  $x \in F$ , we have  $\vartheta(\lambda, x) = \chi(\lambda x)^{-1}$  and the above reduces to  $\gamma \varphi(x)\Gamma_0 = \widetilde{\varphi}(\gamma x)$ . Therefore we obtain a  $\Gamma$ -equivariant map  $\Lambda \setminus Z \to \Gamma/\Gamma_0$ . Projecting the  $\Gamma$ -invariant finite measure to  $\Gamma/\Gamma_0$ , we deduce that the latter is finite.

LEMMA 6.2. (Furman's homomorphism) Let  $\Lambda$  be a countable group acting on a measure space  $(\Sigma, m)$ , preserving the measure class. Let  $F : \Sigma \times \Sigma \to \Gamma$  be a measurable map to a countable group  $\Gamma$  and assume that for all  $\lambda \in \Lambda$ 

- (i)  $F(x,y) = F(\lambda x, \lambda y)$  for  $m^2$ -almost all  $(x,y) \in \Sigma^2$ .
- (ii)  $F(\lambda x, y)F(x, y)^{-1} = F(\lambda x, z)F(x, z)^{-1}$

for  $m^3$ -almost all  $(x, y, z) \in \Sigma^3$ .

(iii)  $F(x,y) = F(y,x)^{-1}$  for  $m^2$ -almost all  $(x,y) \in \Sigma^2$ .

(iv) 
$$F(x,y)F(y,z) = F(x,z)$$
 for  $m^3$ -almost all  $(x,y,z) \in \Sigma^3$ .

Then for m-almost every  $x \in \Sigma$  the map

$$\rho_x: \Lambda \longrightarrow \Gamma, \quad \rho_x(\lambda) = F(\lambda^{-1}x, y)F(x, y)^{-1}$$

is a homomorphism, and for  $m^2$ -almost all  $(x,y) \in \Sigma^2$  the homomorphisms  $\rho_x$  and  $\rho_y$  are conjugated:

$$\rho_y(\lambda) = F(x, y)^{-1} \rho_x(\lambda) F(x, y). \tag{} \forall \lambda \in \Lambda$$

*Proof.* Follows from Lemma 5.2 in [F1] upon modification of notations.

DEFINITION 6.3. A group is said to be *ICC* (for *infinite conjugacy classes*) if the conjugacy class of every non-trivial element is infinite.

We shall see (Proposition 7.11) that this property is automatically satisfied for torsion-free groups in C. The following is a straightforward verification:

LEMMA 6.4. If  $\Gamma$  is an ICC group, then the Dirac mass  $\delta_e$  at the neutral element is the only probability measure on  $\Gamma$  which is invariant for the  $\Gamma$ -action by conjugation.  $\square$ 

The following Lemma isolates the use of the mild mixing assumption in the results where it appears. We recall that we have introduced in Section 2.1 the notation  $A^1$ ,  $A^2$  in order to distinguish between two commuting  $\Gamma$ -actions.

LEMMA 6.5. Let  $(\Sigma, m)$  be a ME coupling of two countable groups  $\Gamma, \Lambda$ , let  $\Delta < \Gamma$  be a subgroup and let  $\Omega = \Sigma \times_{\Lambda} \Lambda \times_{\Lambda} \check{\Sigma}$  be the composed self  $\Gamma$ -coupling (see definition 2.9 and the paragraph preceding it). If the  $\Lambda$ -action on  $\Gamma \setminus \Sigma$  is mildly mixing and the  $\Delta$ -action on  $\Lambda \setminus \Sigma$  is ergodic, then the  $A^i(\Delta)$ -action on  $A^j(\Gamma) \setminus \Omega$  is ergodic for all  $i \neq j$ .

Proof. By [SW] (a reference for which we thank Eli Glasner), the assumption on the Λ-action on  $\Gamma \setminus \Sigma$  is equivalent to the following: for any standard Borel Λ-space  $(S, \nu)$  with a non-atomic invariant ergodic  $\sigma$ -finite measure  $\nu$ , the diagonal Λ-action on  $\Gamma \setminus \Sigma \times S$  is ergodic. Assume now that we have a real-valued  $A^i(\Delta)$ -invariant measurable function F on  $A^j(\Gamma) \setminus \Omega$ . In view of  $\Omega \cong \Sigma \times_{\Lambda} \check{\Sigma}$ , the function F corresponds to a measurable function F' on  $\Sigma \times \check{\Sigma}$  that is Λ-invariant for the diagonal action and  $A^i(\Delta) \times A^j(\Gamma)$ -invariant. View F' as a Λ-invariant function on  $\Gamma \setminus \Sigma \times \Delta \setminus \Sigma$ ; the ergodicity of the  $\Delta$ -action on  $\Lambda \setminus \Sigma$  implies that  $S = \Delta \setminus \Sigma$  is an ergodic Λ-space (notice that  $\Delta \setminus \Sigma$  is not atomic since otherwise  $\Sigma$  and then  $\Gamma \setminus \Sigma$  would be, contradicting the mild mixing assumption). Therefore, the above criterion for mild mixing shows that F' is constant.

6.2. **Proof of Theorems 2.20 and 2.20\*.** Let  $(\Omega, \omega)$  be the composed ME coupling of  $\Gamma$  with itself defined by  $\Omega = \Sigma \times_{\Lambda} \Lambda \times_{\Lambda} \check{\Sigma}$ . The class of  $(x, \lambda, y) \in \Sigma \times \Lambda \times \check{\Sigma}$  in  $\Omega$  will be denoted by  $[x, \lambda, y]$ .

By Lemma 6.5 applied to  $\Delta = \Gamma_j$  for each  $1 \leq j \leq n$ , the coupling  $\Omega$  of  $\Gamma$  with itself satisfies the assumptions of Theorem 2.17 point (ii). Thus there is an automorphism f of  $\Gamma$  and a factor map  $\Phi : \Omega \to T$  to a trivial coupling T such that for every  $\gamma, \gamma' \in \Gamma$  and a.e.  $x \in \Omega$  one has

$$\Phi(A^1_{\gamma}A^2_{\gamma'}x) = \gamma f(\gamma')\Phi(x).$$

We may identify  $\Gamma$  with T as in Example 2.7 so that we consider now  $\Phi$  as a map  $\Omega \to \Gamma$  satisfying

(25) 
$$\Phi(A_{\gamma}^{1}A_{\gamma'}^{2}x) = \gamma\Phi(x)f(\gamma')^{-1}.$$

We prove now an analogue of A. Furman's crucial Lemma 5.5 in [F1] using a similar approach, but bypassing the seemingly non-trivial question of tameness of the conjugation action of a group on the space of probability measures on it. We point out that our approach also applies to Furman's setting and simplifies the argument therein.

LEMMA 6.6. The map  $\Psi: \Sigma \times \Sigma \times \Sigma \to \Gamma$  defined  $m^3$ -a.e. by

(26) 
$$\Psi(x, y, z) = \Phi([x, e, z])\Phi([y, e, z])^{-1}$$

does not depend on z in the sense that  $\Psi(x, y, z_1) = \Psi(x, y, z_2)$  holds for  $m^4$ -almost every  $(x, y, z_1, z_2) \in \Sigma^4$ .

*Proof of the Lemma.* Define the map  $\mathcal{T}: \Sigma^4 \to \Gamma$  by

(27) 
$$\mathfrak{T}(x, y, z_1, z_2) = \Psi(x, y, z_1)\Psi(x, y, z_2)^{-1}.$$

The above definitions imply that for  $m^4$ -a.e.  $(x, y, z_1, z_2) \in \Sigma^4$  and every  $\lambda \in \Lambda, \gamma \in \Gamma$  one has

$$\mathfrak{T}(x, y, z_1, z_2) = \mathfrak{T}(\lambda x, \lambda y, \lambda z_1, \lambda z_2) 
= \mathfrak{T}(x, \gamma y, z_1, z_2) 
= \mathfrak{T}(x, y, \gamma z_1, z_2) 
= \mathfrak{T}(x, y, z_1, \gamma z_2).$$

Consider the  $\Gamma \times \Lambda$ -space  $Z = \Sigma \times (\Gamma \setminus \Sigma)^3$ , where  $\Gamma$  acts on the first factor and  $\Lambda$  by diagonal (fourtuple) action. This is again a coupling and  $\mathcal{T}$  induces a map  $\mathcal{T}_0 : \Lambda \setminus Z \to \Gamma$ . Substituting (25) in (26) and then in (27), one gets

$$\mathfrak{I}(\gamma x, y, z_1, z_2) = \gamma \mathfrak{I}(x, y, z_1, z_2) \gamma^{-1},$$

so that  $\mathcal{T}_0$  is  $\Gamma$ -equivariant for the conjugation action on  $\Gamma$ . If we project now the  $\Gamma$ -invariant measure of  $\Lambda \setminus Z$  through  $\mathcal{T}_0$ , we get a conjugation invariant probability measure on  $\Gamma$ . Since the product of two ICC groups is again ICC, the (independent) Proposition 7.11 ensures that  $\Gamma$  is ICC, and so Lemma 6.4 shows that  $\mathcal{T}_0$  is essentially constant with value  $e \in \Gamma$ , proving Lemma 6.6.

We may now define  $F: \Sigma \times \Sigma \to \Gamma$  as in Lemma 6.2 by  $F(x,y) = \Psi(x,y,z)$ . All properties listed in Lemma 6.2 are readily verified, and we obtain thus a family of conjugated homomorphisms  $\rho_x: \Lambda \to \Gamma$ . In particular, we obtain a well defined subgroup  $N \lhd \Lambda$  as the kernel of almost all  $\rho_x$ . For later reference, we record that the definition of  $\rho_x$  boils down to

(28) 
$$\rho_x(\lambda) = \Phi([x, \lambda, z])\Phi([x, e, z])^{-1} \quad \forall \lambda \in \Lambda, \ m^2\text{-a.e.} \ (x, z) \in \Sigma^2.$$

Let  $D \subseteq \Sigma$  be a fundamental domain for the  $\Lambda$ -action on  $\Sigma$  and consider the measure space

$$\widetilde{\Omega} = D \times \Lambda \times D \ \subseteq \ \Sigma \times \Lambda \times \Sigma.$$

This inclusion yields an isomorphism of measure spaces  $\widetilde{\Omega} \cong \Omega$  and through this identification we let  $\Gamma$  act on  $\widetilde{\Omega}$  by the second  $\Gamma$ -action  $A^2$  on  $\Omega$ . We also endow  $\widetilde{\Omega}$  with the  $\Lambda$ -action coming from left multiplication on itself, so that we obtain on  $\widetilde{\Omega}$  a  $\Gamma \times \Lambda$ -structure given explicitly by

(29) 
$$(\gamma, \lambda) (x, \lambda_1, y) = (x, \lambda \lambda_1 \alpha(\gamma, y)^{-1}, \gamma \cdot y),$$

where  $\alpha: \Gamma \times D \to \Lambda$  is the cocycle corresponding to D; we recall also that the  $\Gamma$ action on D is given by  $\gamma \cdot y = \alpha(\gamma, y)\gamma y$ . If we denote by  $\widetilde{\Phi}$  the map  $\widetilde{\Omega} \to \Gamma$  induced
by  $\Phi$  under the identification  $\widetilde{\Omega} \cong \Omega$ , then  $E = \widetilde{\Phi}^{-1}(e) \subseteq \widetilde{\Omega}$  is a finite measure
fundamental domain for the  $\Gamma$ -action since  $\widetilde{\Phi}: \widetilde{\Omega} \to \Gamma$  is  $\Gamma$ -equivariant (with respect
to right multiplication on  $\Gamma$  through the automorphism f). Thus  $\widetilde{\Omega}$  is a ME coupling

of  $\Gamma$  with  $\Lambda$  because the latter has an obvious finite measure fundamental domain. Equation (28) gives

(30) 
$$\rho_x(\lambda) = \widetilde{\Phi}(x,\lambda,z)\widetilde{\Phi}(x,e,z)^{-1} \quad \forall \lambda \in \Lambda, \ m^2\text{-a.e.} \ (x,z) \in D^2,$$

but it shows further that for  $\lambda_0 \in \Lambda$ 

(31) 
$$\lambda_0 \in N \iff \forall \lambda_1, \lambda_2 \in \Lambda, \text{ for a.e. } (x, y) \in D^2: \\ \widetilde{\Phi}(x, \lambda_1 \lambda_0 \lambda_2, y) = \widetilde{\Phi}(x, \lambda_1 \lambda_2, y).$$

This characterisation shows in particular (for  $\lambda_1 = e$ ) that N preserves E, and thus, by properness of the  $\Lambda$ -action, the group N is finite. This is the only point where we use that  $\widetilde{\Phi}$  has finite measure fibres.

By (30), we have for a.e.  $t = (x, \lambda_1, y)$  in  $\widetilde{\Omega}$  and every  $\lambda \in \Lambda$ 

(32) 
$$\rho_{x}(\lambda) = \rho_{x}(\lambda\lambda_{1})\rho_{x}(\lambda_{1})^{-1}$$

$$= \widetilde{\Phi}(x,\lambda\lambda_{1},z)\widetilde{\Phi}(x,e,z)^{-1} \Big(\widetilde{\Phi}(x,\lambda_{1},z)\widetilde{\Phi}(x,e,z)^{-1}\Big)^{-1}$$

$$= \widetilde{\Phi}(\lambda t)\widetilde{\Phi}(t)^{-1}.$$

This is just  $\widetilde{\Phi}(\lambda t)$  whenever  $t \in E$ . On the other hand, if  $\vartheta : \Lambda \times E \to \Gamma$  is the cocycle associated to E, we have

$$e = \widetilde{\Phi}(\vartheta(\lambda, t)\lambda t) = \widetilde{\Phi}(\lambda t)f(\vartheta(\lambda, t))^{-1}.$$

We deduce

(33) 
$$\rho_x(\lambda) = f(\vartheta(\lambda, t)) \qquad \forall \lambda \in \Lambda, \ a.e. \ t = (x, \lambda_1, y) \in E.$$

Applying the Fubini-Lebesgue theorem to the conclusion of Lemma 6.2, we have some  $x_0 \in D$  such that  $\rho = \rho_{x_0} : \Lambda \to \Gamma$  is a homomorphism with kernel N and such that for a.e.  $x \in D$ 

(34) 
$$\rho_x(\lambda) = F(x_0, x)^{-1} \rho(\lambda) F(x_0, x) \qquad \forall \lambda \in \Lambda.$$

We now proceed to show that  $\rho(\Lambda)$  has finite index in  $\Gamma$ . Define  $\varphi: E \to \Gamma$  by

$$\varphi(t) = f^{-1}(F(x_0, x)) \text{ for } t = (x, \lambda_1, y) \in E.$$

Observe that  $\varphi(\lambda \cdot t) = \varphi(t)$  for  $\lambda \in \Lambda$  because by (29)

$$\lambda \cdot t = \lambda \vartheta(\lambda, t) t = \left( x, \lambda \lambda_1 \alpha(\vartheta(\lambda, t), y)^{-1}, \vartheta(\lambda, t) \cdot y \right).$$

Consider the cohomologous cocycle

$$\vartheta'(\lambda, t) = \varphi(\lambda \cdot t)\vartheta(\lambda, t)\varphi(t)^{-1}.$$

Applying successively (33) and (34), we have a.e.

$$f(\vartheta'(\lambda,t)) = F(x_0,x)f(\vartheta(\lambda,t))F(x_0,x)^{-1}$$
  
=  $F(x_0,x)\rho_x(\lambda)F(x_0,x)^{-1} = \rho(\lambda).$ 

In other words,  $\vartheta'$  ranges essentially in  $f^{-1}(\rho(\Lambda))$ . Applying Lemma 6.1, we deduce that  $f^{-1}(\rho(\Lambda))$ , and thus also  $\rho(\Lambda)$ , have finite index in  $\Gamma$ . Summing up, we obtain a virtual isomorphism of  $\Lambda$  and  $\Gamma$ :

$$(35) 1 \longrightarrow N \longrightarrow \Lambda \xrightarrow{\rho} \rho(\Lambda) \longrightarrow 1.$$

We proceed now to construct the virtual isomorphism (2) with the additional properties stated in Theorem 2.20 by considering again the ME coupling  $(\Sigma, m)$  of  $\Gamma, \Lambda$  but now in view of (35). Endow  $N \setminus \Sigma$  with the restricted measure  $m_N$  (alternatively, we could work with the quotient measure  $|N| \cdot m_N$ ); we consider this as a  $\rho(\Lambda) \times \Gamma$ -space  $via\ \rho$ . Since  $\Gamma$  is torsion-free, the N-action on  $\Gamma \setminus \Sigma$  is essentially free and thus the  $\Gamma$ -action on  $N \setminus \Sigma$  still admits a fundamental domain (of measure  $m(\Gamma \setminus \Sigma)/|N|$ ). Therefore,  $(N \setminus \Sigma, m_N)$  is a ME coupling of  $\rho(\Lambda)$  with  $\Gamma$  with coupling index satisfying

$$[\Gamma : \rho(\Lambda)]_{N \setminus \Sigma} = |N| \cdot [\Gamma : \Lambda]_{\Sigma}.$$

We write now  $\Gamma_i^*$  for the image of the projection of  $\rho(\Lambda)$  to  $\Gamma_i$  and consider the finite index subgroup  $\Gamma^* < \Gamma$  containing  $\rho(\Lambda)$  defined by  $\Gamma^* = \Gamma_1^* \times \cdots \times \Gamma_n^*$ . Let  $(\Sigma^*, m^*)$  be the  $\Gamma^* \times \Gamma$ -space obtained by suspension from  $(N \setminus \Sigma, m_N)$ ; that is, we have

$$\Sigma^* = \rho(\Gamma) \setminus (N \setminus \Sigma \times \Gamma^*),$$

wherein  $\rho(\Lambda)$  acts diagonally, while the  $\Gamma^*$ -action is given by (inverted) right multiplication on the second factor and  $\Gamma$  acts on the first factor. Then  $\Sigma^*$  yields a ME coupling of  $\Gamma^*$  with  $\Gamma$  and we have

$$[\Gamma : \Gamma^*]_{\Sigma^*} \cdot [\Gamma^* : \rho(\Lambda)] = [\Gamma : \rho(\Lambda)]_{N \setminus \Sigma}.$$

The  $\Gamma$ -action on  $\Gamma^* \backslash \Sigma^*$  is still irreducible by construction. On the other hand, we claim that the  $\Gamma^*$ -action on  $\Gamma \backslash \Sigma^*$  is irreducible. Indeed, the ergodicity of the  $\Gamma_i^*$ -action on  $\Gamma \backslash \Sigma^*$  is equivalent to the ergodicity of  $\rho(\Lambda) \cap \Gamma_i$  on  $\Gamma \backslash (N \backslash \Sigma)$ , which in turn is equivalent to the ergodicity of  $\rho^{-1}(\Gamma_i)$  on  $\Gamma \backslash \Sigma$ . The latter follows from mild mixing since  $\rho^{-1}(\Gamma_i)$  is infinite, proving the claim.

At this point we are in position to apply Theorem 2.17 point (ii) to  $\Sigma^*$  and obtain isomorphisms  $h_i: \Gamma_i^* \to \Gamma_i$  after possibly permuting factors. If we set  $h = \prod_{i=1}^n h_i$ ,  $\pi = h \circ \rho$  and  $\Gamma' = \pi(\Lambda)$ , then we have a virtual isomorphism (2) such that the projections of  $\Gamma'$  to each  $\Gamma_i$  are onto. Moreover, Theorem 2.17 yields  $[\Gamma: \Gamma^*]_{\Sigma^*} = 1$ , which in view of (36) and (37) implies indeed the formula (3) since  $[\Gamma: \Gamma'] = [\Gamma^*: \rho(\Lambda)]$ . This completes the proof of Theorem 2.20. Theorem 2.20\* then follows from the properties of the fundamental domain in  $\Sigma^*$  granted by Theorem 2.17 in view of the construction of  $\Sigma^*$ .

6.3. **Proof of Theorem 2.24.** We will also start by constructing a homomorphism  $\rho$  of  $\Lambda$ , but in contrast to the proof of Theorem 2.20  $\rho$  will range in  $\overline{\Gamma}$  instead of  $\Gamma$ , and we shall show that its kernel is amenable rather than finite. Moreover, in order to show that it has finite index image, we shall need the following variation of Lemma 6.1 (which will be complemented by Lemma 6.9 below):

LEMMA 6.7. Let  $(Z,\zeta)$  be a ME coupling of countable groups  $\Gamma,\Lambda$  and let  $M \lhd \Gamma$  be a normal subgroup. Suppose that there is a measurable M-invariant set  $E \subseteq Z$  such that  $Z = \bigsqcup_{\gamma M \in \Gamma/M} \gamma E$ . Pick a measurable map  $\sigma: Z \to \Gamma$  such that  $x \in \sigma(x)E$  for

all  $x \in Z$ , so that in particular the induced map  $\bar{\sigma}: Z \to \overline{\Gamma} = \Gamma/M$  is  $\Gamma$ -equivariant and determined by E. If  $\bar{\sigma}|_{\Lambda E}$  ranges  $\zeta$ -essentially in a subgroup  $\overline{\Gamma}_0 < \overline{\Gamma}$ , then  $\overline{\Gamma}_0$  has finite index in  $\overline{\Gamma}$ .

Proof of the Lemma. Denote by  $\Gamma_0$  the pre-image of  $\overline{\Gamma}_0$  in  $\Gamma$ , so that  $\sigma$  ranges essentially in  $\Gamma_0$ . It is enough to show that  $\Gamma_0$  has finite index in  $\Gamma$ . Let  $F \subseteq Z$  be a fundamental domain for the  $\Gamma$ -action and define  $F' = {\sigma(x)^{-1}x : x \in F}$ . One checks that F' is also a fundamental domain. Moreover,  $F' \subseteq E$ . Indeed, for  $x \in F$  and  $y = \sigma(x)^{-1}x$  we have  $\overline{\sigma}(y) = \overline{\sigma}(x)^{-1}\overline{\sigma}(x)$  so that  $\sigma(y) \in M$ ; now  $y \in \sigma(y)E$  implies  $y \in E$ .

Let now  $\chi, \chi'$  be the retractions associated to F, F'. One computes from the definition of F' that  $\chi'(x) = \chi(x)\sigma(\chi(x)^{-1}x)$  for a.e.  $x \in Z$ . Thus  $\chi'(x)M = \bar{\sigma}(x)$  and in particular  $\chi'(\lambda x)M \in \overline{\Gamma}_0$  for  $\zeta$ -a.e.  $x \in E$  and all  $\lambda \in \Lambda$ . Therefore the cocycle associated to F' ranges essentially in  $\Gamma_0$ . An application of Lemma 6.1 completes the proof.

Proof of Theorem 2.24. We write  $\gamma \mapsto \bar{\gamma} = \gamma M$  for the natural map. We consider again the composed self-coupling  $\Omega = \Sigma \times_{\Lambda} \Lambda \times_{\Lambda} \check{\Sigma}$  of  $\Gamma$  and apply Lemma 6.5 to  $\Delta = M$ . This time we may apply Theorem 2.23 and deduce an automorphism f of  $\bar{\Gamma}$  and a factor map  $\Phi : \Omega \to T$  to a trivial self-coupling  $T \cong \bar{\Gamma}$  of  $\bar{\Gamma}$ , and we have

$$\Phi(A_{\gamma}^1 A_{\gamma'}^2 x) = \bar{\gamma} \Phi(x) f(\bar{\gamma}')^{-1}.$$

Since  $\overline{\Gamma}$  is ICC by Proposition 7.11, we can argue exactly as for Theorem 2.20 and deduce that the map  $\Psi: \Sigma \times \Sigma \times \Sigma \to \overline{\Gamma}$  defined as in Lemma 6.6 does not depend on the last variable. Taking the corresponding definitions for F,  $\rho_x: \Lambda \to \overline{\Gamma}$ , the  $\Gamma \times \Lambda$ -space  $\widetilde{\Omega} = D \times \Lambda \times D$  and  $\widetilde{\Phi}$ , there is nothing to change to the arguments to apply Furman's Lemma 6.2 and get (30) and (31).

An important difference, though, is that  $E = \widetilde{\Phi}^{-1}(e)$  is in general not a fundamental domain and may have infinite measure. However, M preserves E and we have

(38) 
$$\widetilde{\Omega} = \bigsqcup_{\gamma M \in \Gamma/M} \gamma E.$$

Lemma 6.8. The group N is amenable.

Proof of the Lemma. Equation (31) shows that N preserves E, which is thus a  $M \times N$ -space. Both actions are proper, measure preserving and have some fundamental domain since they are the restriction of the actions on  $\widetilde{\Omega}$ . Therefore we can conclude by Corollary 4.2, provided M has a *finite measure* fundamental domain in E. Take

a fundamental domain  $F' \subseteq E$  for the  $\Gamma$ -action on  $\widetilde{\Omega}$  as constructed in the proof of Lemma 6.7. It remains to show that the M-translates of F' cover E. Since  $\Gamma F' = \widetilde{\Omega}$ , this follows from the fact that the union (38) is disjoint.  $\square$ 

We fix a map  $\sigma: \widetilde{\Omega} \to \Gamma$  as in Lemma 6.7. We find as after (32) that  $\rho_x(\lambda) = \widetilde{\Phi}(\lambda t)$  whenever  $t = (x, \lambda_1, y) \in E$ . We have

$$e = \widetilde{\Phi}(\sigma(\lambda t)^{-1}\lambda t) = \widetilde{\Phi}(\lambda t)f(\overline{\sigma}(\lambda t))$$

whence again

(39) 
$$\rho_x(\lambda) = f(\bar{\sigma}(\lambda t))^{-1} \qquad \forall \lambda \in \Lambda, \ a.e. \ t = (x, \lambda_1, y) \in E.$$

Fix  $x_0 \in D$  with (34) and let  $\rho = \rho_{x_0}$ ,  $\overline{\Gamma}_0 = \rho(\Lambda)$  so that with (34) and (39) we get for a.e.  $t \in E$ 

(40) 
$$\rho(\lambda)^{-1} = F(x_0, x) f(\bar{\sigma}(\lambda t)) F(x_0, x)^{-1}.$$

Pick an arbitrary section  $\tau: \overline{\Gamma} \to \Gamma$  of the projection and define  $\psi: \widetilde{\Omega} \to \Gamma$  by  $\psi(x, \lambda_1, y) = \tau f^{-1} F(x_0, x)$ . Note that  $\psi$  is  $\Gamma \times \Lambda$ -invariant by (29). Define further  $\sigma': \widetilde{\Omega} \to \Gamma$  by  $\sigma'(t) = \psi(t)\sigma(t)\psi(t)^{-1}$  and  $E' = \{\psi(t)t : t \in E\}$ . Now (40) implies

(41) 
$$\overline{\sigma'}(\lambda t) \in f^{-1}(\overline{\Gamma}_0) \qquad \forall \lambda \in \Lambda, \ a.e. \ t \in E.$$

Define  $\sigma'': \widetilde{\Omega} \to \Gamma$  by  $\sigma''(s) = \sigma'(\psi(s)^{-1}s)$ . We replace cocycle equivalence by:

LEMMA 6.9. E' and  $\sigma''$  satisfy the assumptions of Lemma 6.7 for  $Z = \widetilde{\Omega}$  and  $f^{-1}(\overline{\Gamma}_0)$  instead of  $\overline{\Gamma}_0$ .

Proof of the Lemma. First we check ME' = E'. Let  $m \in M$ ,  $t \in E$ ,  $s = \psi(t)t \in E'$  and  $m' = \psi(t)^{-1}m\psi(t) \in M$ . The M-invariance of  $\psi$  gives

$$ms = m\psi(t)t = \psi(t)m't = \psi(m't)m't$$

which is in E' since ME = E. One checks in a similar way that  $\widetilde{\Omega} = \bigsqcup_{\gamma M \in \Gamma/M} \gamma E'$ .

We check now  $s \in \sigma''(s)E'$  for all  $s \in \widetilde{\Omega}$ . Setting  $t = \psi(s)^{-1}s$  we have  $s = \psi(t)t$  since  $\psi(t) = \psi(s)$ . Write

$$t = \sigma(t)\sigma(t)^{-1}t = \psi(t)^{-1}\sigma'(t)\psi(t)\sigma(t)^{-1}t,$$

so that

$$s = \sigma'(t)\psi(t)\sigma(t)^{-1}t = \sigma'(t)\psi(\sigma(t)^{-1}t)\sigma(t)^{-1}t.$$

By the choice of  $\sigma$  we have  $\sigma(t)^{-1}t \in E$  so the above shows that s is in  $\sigma'(t)E' = \sigma''(s)E'$  as claimed. Finally, for  $t \in E$  and  $s = \psi(t)t \in E'$  we have indeed

$$\overline{\sigma''}(\lambda s) = \overline{\sigma'}(\lambda \psi(s)^{-1}s)\overline{\sigma'}(\lambda t)$$

since  $t = \psi(s)^{-1}s$  and thus  $\overline{\sigma''}|_{\Lambda E'}$  ranges essentially in  $f^{-1}(\overline{\Gamma}_0)$  by (41).

In conclusion, Lemma 6.7 forces  $f^{-1}(\overline{\Gamma}_0)$  and hence also  $\overline{\Gamma}_0$  to have finite index in  $\overline{\Gamma}$ . Writing  $\overline{\Lambda} = \Lambda/N \cong \overline{\Gamma}_0$ , we observe that this group is torsion-free. It is also in  $\mathcal{C}$  since this property passes to finite index subgroups (Lemma 7.5 below). Therefore, in order to complete the proof of Theorem 2.24 by an application of Theorem 2.23, it remains only to check that N is ergodic on  $\Gamma \setminus \Sigma$ . Since the  $\Lambda$ -action on  $\Gamma \setminus \Sigma$  is mildly mixing, this is guaranteed if N is infinite. On the other hand, we claim that if N were finite then M would be so too and therefore the ergodicity assumption for the M-action on  $\Lambda \setminus \Sigma$  would make  $\Sigma$  purely atomic, in which case Theorem 2.24 holds trivially.

Finally, to verify the claim above, observe that with N finite we deduce that  $\Lambda$  is in  $\mathcal{C}$  (Lemma 7.3 below), so that by Corollary 7.6 below we deduce that  $\Gamma$  is in  $\mathcal{C}$  as well. Since M is amenable and normal, by Proposition 3.8 it must fix a non-zero vector in any  $\pi$  with  $H_b^2(\Gamma, \pi) \neq 0$ . In our case such  $\pi$  which is mixing exists, hence M is finite.

## 7. Groups in the Class $\mathcal C$ and ME Invariants

In this section, which is independent of the rest of the paper, we collect some information about the class C of groups to which our results apply.

Recall that we defined the class  $\mathcal{C}$  as the collection of all countable groups  $\Gamma$  admitting a mixing unitary representation  $\pi$  such that  $H^2_b(\Gamma, \pi)$  is non-zero, and that  $\mathcal{C}_{reg}$  is the subclass of those for which one can take  $\pi$  to be the regular representation on  $\ell^2(\Gamma)$ . We do not know whether or not the inclusion  $\mathcal{C}_{reg} \subseteq \mathcal{C}$  is strict; it so happens that all our proofs that certain geometrically defined classes of groups belong to  $\mathcal{C}$  actually show that they belong to  $\mathcal{C}_{reg}$ .

We begin by justifying that the classes of groups listed in Examples 1.1 do indeed belong to the class  $C_{reg}$ . This is established in the companion paper [MS2] using also [MMS]; we refer to [MS2] for the geometric background and context. However, let us emphasize that we shall present at the end of this section an alternative argument to show that many groups in the list of Examples 1.1 are in C, without appealing to [MS2] (or [MMS])

Proof of Theorem 1.3. Let  $\Gamma$  be a group as in Examples 1.1. In case (i), Corollary 7.8 of [MS2] states that  $\Gamma$  belongs to  $\mathcal{C}_{reg}$  (note that we may assume the tree is countable since  $\Gamma$  is). In case (ii), Corollary 7.6 of [MS2] ensures  $\Gamma \in \mathcal{C}_{reg}$ . Case (iii) is Theorem 3 in [MMS].

Via basic Bass-Serre theory, it follows that any non-elementary free product of groups is in  $C_{reg}$ , and that this is more generally so if one amalgamates over a finite subgroup (we recall here that an amalgamated product  $A *_C B$  is non-elementary if  $A \neq C$  and [B:C] > 2, or vice-versa). We have observed in 7.10, 7.11 of [MS2] that even if C is infinite,  $A *_C B$  is in  $C_{reg}$  as soon as C is malnormal (or almost malnormal) in one factor, and that there is in fact a sequence of weaker and weaker

acylindricality conditions generalising this fact. Already the case of free products has the following immediate consequence:

COROLLARY 7.1. (i) Every countable group embeds into a group in  $C_{reg}$ .

(ii) There is a continuum  $2^{\aleph_0}$  of non-isomorphic finitely generated torsion-free groups in  $C_{reg}$ .

We also point out that the third part of Examples 1.1 applies to the Cayley graph of Gromov-hyperbolic groups:

COROLLARY 7.2. Every non-elementary Gromov-hyperbolic group is in  $C_{reg}$ . This holds more generally for non-elementary subgroups of Gromov-hyperbolic groups.  $\square$ 

7.1. Stability properties and ME invariants. We begin with a simple observation:

LEMMA 7.3. Let  $\Gamma$  be a group and  $F \lhd \Gamma$  a finite normal subgroup. If  $\Gamma/F$  is in  $C_{reg}$  or C, then so is  $\Gamma$ .

Proof. If  $\Gamma/F$  is in  $\mathcal{C}_{reg}$ , then  $H_b^2(\Gamma, \ell^2(\Gamma/F)) \neq 0$  because an averaging argument gives  $H_b^2(\Gamma, \ell^2(\Gamma/F)) \cong H_b^2(\Gamma/F, \ell^2(\Gamma/F))$ . Since the Γ-representation  $\ell^2(\Gamma/F)$  is contained in  $\ell^2(\Gamma)$ , we see that Γ is in  $\mathcal{C}_{reg}$ . If  $\Gamma/F$  is in  $\mathcal{C}$  and  $\pi$  is a mixing  $\Gamma/F$ -representation with  $H_b^2(\Gamma/F, \pi) \neq 0$ , then as before  $H_b^2(\Gamma, \pi) \neq 0$ . But  $\pi$  is still mixing as Γ-representation, so Γ is in  $\mathcal{C}$ .

PROPOSITION 7.4. Let  $\Gamma$  be a group in  $\mathcal{C}$  (respectively  $\mathcal{C}_{reg}$ ) and  $N \lhd \Gamma$  an infinite normal subgroup. Then N is in  $\mathcal{C}$  (respectively  $\mathcal{C}_{reg}$ ).

The normality assumption is necessary as can be seen readily by taking a group which is not in C (such as a product of two infinite groups) and applying Corollary 7.1. Observe that on the other hand if  $\Gamma$  is a group as in Examples 1.1, then *any* subgroup that acts still *non-elementarily* on the corresponding space is again in the list of Examples 1.1.

Proof of Proposition 7.4. Let  $\pi$  be a mixing unitary  $\Gamma$ -representation with non-vanishing  $\mathrm{H}^2_\mathrm{b}(\Gamma,\pi)$ . Since N is infinite, it cannot fix any non-zero vector for  $\pi$ . Therefore the second exact sequence of Theorem 12.4.2 in [M] (wherein one must read  $\Delta$  for N) shows that the restriction

res: 
$$\mathrm{H}^2_{\mathrm{cb}}(\Gamma,\pi) \longrightarrow \mathrm{H}^2_{\mathrm{cb}}(N,\pi)$$

is injective and thus  $\mathrm{H}^2_{\mathrm{cb}}(N,\pi) \neq 0$ , so N is in  $\mathcal{C}$ . In the case  $\pi = \ell^2(\Gamma)$ , we observe that  $\pi|_N$  is a multiple of  $\ell^2(N)$  so that we are done by Corollary 3.4.

Recall that a subgroup  $\Lambda$  of a group  $\Gamma$  is called *co-amenable* (or one says that the coset  $\Gamma$ -space  $\Gamma/\Lambda$  is *amenable in Eymard's sense*) if there is a  $\Gamma$ -invariant mean on  $\ell^{\infty}(\Gamma/\Lambda)$ . This is *e.g.* the case if  $\Lambda$  has finite index in  $\Gamma$ , or if  $\Lambda$  is normal in  $\Gamma$  and the quotient is an amenable group.

LEMMA 7.5. Let  $\Gamma$  be a group in  $\mathcal{C}$  (respectively  $\mathcal{C}_{reg}$ ) and  $\Lambda < \Gamma$  a co-amenable subgroup. Then  $\Lambda$  is also in  $\mathcal{C}$  (respectively  $\mathcal{C}_{reg}$ ).

Proof. Let  $\pi$  be a mixing Γ-representation with  $H_b^2(\Gamma, \pi) \neq 0$ . By [M, N° 8.6.2], the restriction map  $H_b^2(\Gamma, \pi) \to H_b^2(\Lambda, \pi)$  is injective, so that  $\Lambda$  is in  $\mathcal{C}$  because  $\pi$  is also Λ-mixing. The case of  $\mathcal{C}_{reg}$  is handled with Corollary 3.4 as in Proposition 7.4.

As implicitly observed in Section 5, Theorem 4.4 has the following consequence.

COROLLARY 7.6. Let  $\Lambda$ ,  $\Gamma$  be ME countable groups. Assume that  $\Gamma$  is in C (respectively  $C_{reg}$ ). Then  $\Lambda$  is also in C (respectively  $C_{reg}$ ).

In other words, being in the classes C or  $C_{reg}$  are ME invariants; in particular this proves Theorem 1.18 from the Introduction.

Proof of the corollary. Let  $(\Sigma, m)$  be a ME coupling of  $\Lambda$  with  $\Gamma$  and let  $\pi$  be a mixing  $\Gamma$ -representation with  $H_b^2(\Gamma, \pi) \neq 0$ . By Theorem 4.4, the space  $H_b^2(\Lambda, \Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi)$  is non-zero. By Lemma 4.1 point (i), the  $\Lambda$ -representation  $\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \pi$  is mixing, so  $\Lambda$  is in  $\mathcal{C}$ . In the case  $\pi = \ell^2(\Gamma)$ , Lemma 4.1 point (iii) gives  $\Sigma \mathbf{I}_{\Gamma}^{\Lambda} \ell^2(\Gamma) \cong L^2(\Sigma)$ . The latter is a multiple of  $\ell^2(\Lambda)$  since there is a  $\Lambda$ -fundamental domain in  $\Sigma$ , so that Corollary 3.4 implies that  $H_b^2(\Lambda, \ell^2(\Lambda))$  is non-zero.

We can in fact refine our cohomological ME-invariants to distinguish between some groups which are not in these two classes.

DEFINITION 7.7. Denote by  $wC_{\text{reg}}$  the class of countable groups  $\Gamma$  admitting a unitary representation  $\pi$  which is **weakly contained** in  $\ell^2(\Gamma)$  and such that  $H_b^2(\Gamma, \pi) \neq 0$ .

Obviously, we have  $C_{\text{reg}} \subseteq wC_{\text{reg}}$  and as we shall see, this inclusion is strict. All the stability properties established above for the classes C and  $C_{\text{reg}}$  remain valid, with similar proofs, also for this class. Natural examples of groups in  $wC_{\text{reg}}$  are provided by the following:

PROPOSITION 7.8. (i) Suppose that  $N \lhd \Gamma$  is a normal amenable subgroup. If  $\Gamma/N$  is in  $C_{reg}$ , then  $\Gamma$  is in  $wC_{reg}$ .

- (ii) If a countable group  $\Gamma$  splits non-trivially as a free product over an amalgamated amenable subgroup then  $\Gamma$  is in  $wC_{reg}$  (unless the amalgamated group has index  $\leq 2$  in both factors).
- *Proof.* (i) Because N is amenable we have  $\mathbb{1} \prec \ell^2(N)$ , and inducing both sides to  $\Gamma$  gives  $\ell^2(\Gamma/N) \prec \ell^2(\Gamma)$ . Thus it is enough to show  $H_b^2(\Gamma, \pi) \neq 0$  for  $\pi = \ell^2(\Gamma/N)$ . Since we have  $H_b^2(\Gamma/N, \pi) \neq 0$  by assumption, the result follows from Corollary 3.6.
- (ii) It is enough to find an amenable subgroup  $N < \Gamma$  with  $H_b^2(\Gamma, \ell^2(\Gamma/N)) \neq 0$  since in the above argument the normality of N in  $\Gamma$  was not used for  $\ell^2(\Gamma/N) \prec \ell^2(\Gamma)$ . Here, all stabilisers for the  $\Gamma$ -action on the set E of edges of the Bass-Serre tree associated with the amalgamated decomposition are amenable; therefore, the action on  $E \times E$  also has amenable stabilisers. Now our Corollary 7.8 in [MS2] completes the proof.

An argument similar to the one given in the proof of Corollary 7.6, using (ii) in Lemma 4.1, shows:

COROLLARY 7.9. Being in the class  $wC_{reg}$  is a ME invariant.

Now that we have large families of groups in the various classes defined above, we add a few observations to complete the picture:

Proposition 7.10. Let  $\Gamma$  be a countable group.

- (i) If  $\Gamma$  is amenable then  $\Gamma$  is not in  $\mathcal{C}$  or  $\mathcal{C}_{reg}$ , nor in  $w\mathcal{C}_{reg}$ .
- (ii) If  $\Gamma$  contains an infinite normal amenable (e.g. central) subgroup N then  $\Gamma$  is not in C or  $C_{reg}$ ; but if  $\Gamma/N$  is in  $C_{reg}$ , then  $\Gamma$  is in  $wC_{reg}$ .
- (iii) If  $\Gamma$  is the product of two infinite groups then  $\Gamma$  is not in  $\mathcal{C}$  or  $\mathcal{C}_{reg}$ , and if both groups are non-amenable then it is also not in  $w\mathcal{C}_{reg}$  (though  $\Gamma$  may be in  $w\mathcal{C}_{reg}$  if one of the factors is amenable as in (ii) above).
- (iv) If  $\Gamma$  has infinitely many ends, i.e. (by Stallings) if it is a non-trivial free product over a **finite** amalgamated subgroup, then  $\Gamma$  is in C and  $C_{\text{reg}}$ . If it is a non-trivial free product over an **amenable** subgroup then  $\Gamma$  is in  $wC_{\text{reg}}$ .
- (v)  $\Gamma$  is not in any of these classes if it is a lattice in a higher rank simple Lie group, or in a higher rank simple algebraic group over a local field.
- (vi)  $\Gamma$  is not in any of these classes if it is an irreducible lattice in a product of non-amenable compactly generated locally compact groups.

Proof. For (i), see Remark 3.9. The first part of (ii) follows from Proposition 3.8 since an infinite subgroup cannot fix a non-zero vector in a mixing representation; the second part was noted in Proposition 7.8. For point (iii), assume that  $H_b^2(\Gamma,\pi) \neq 0$  for  $\Gamma = \Gamma_1 \times \Gamma_2$ . Theorem 3.7 implies  $\mathcal{H}_{\pi}^{\Gamma_i} \neq 0$  for some  $i \in \{1,2\}$ . This forces  $\Gamma_i$  to be finite if  $\pi$  is mixing and to be amenable if  $\pi \prec \ell^2(\Gamma)$ . Point (iv) has been addressed above, see the above discussion after the proof of Theorem 1.3 and Proposition 7.8. One gets (v) by applying Theorem 1.4 from [MS2]. Theorem 16 in [BM2] implies (vi).

At this point, we can complete the

Proof of Corollary 1.19. The result follows from the juxtaposition of Corollary 7.6 and point (ii) of Proposition 7.10.  $\Box$ 

Next, recall that a group is said to be ICC if the conjugacy class of every non-trivial element is infinite. We used the following fact each time we needed Lemma 6.4.

PROPOSITION 7.11. Any countable torsion-free group in C is ICC.

*Proof.* Let  $\Gamma$  be as in the statement and suppose for a contradiction that it contains a non-trivial element  $\gamma_0$  with finite conjugacy class. The centraliser  $\Gamma_0$  of  $\gamma_0$  has finite index in  $\Gamma$  so that it is also in C. The subgroup  $C_0$  generated by  $\gamma_0$  is normal

in  $\Gamma_0$  and amenable, so by Proposition 3.8 the space  $\mathcal{H}_{\pi}^{C_0}$  is non-zero for every  $\Gamma_0$ -representation such that  $H_b^2(\Gamma_0, \pi) \neq 0$ . However this can never happen for  $\pi$  mixing unless  $C_0$  is finite, a contradiction since  $\Gamma$  is torsion-free and  $\gamma_0$  is non-trivial.  $\square$ 

7.2. A shortcut to C. Finally, we describe a short alternative approach to establish that certain groups (including free groups) are in the class C, thereby avoiding completely the dependence on the companion paper [MS2]. The more restricted family we cover here is still rich enough to provide many interesting examples to which our foregoing results apply, as well as to establish Theorem 1.7 (see below) and Theorem 1.14 (with some modification of the proof).

PROPOSITION 7.12. Every lattice in a simple (connected, center-free) Lie group of rank one is in C.

Before proving the proposition we notice that it yields yet another proof (in the finitely generated case) that non-Abelian free groups are in  $\mathcal{C}$ . Using this fact, we can give an alternative argument (independent of [MS2]) to show that there is a continuum of non-isomorphic finitely generated torsion-free groups in  $\mathcal{C}$  (Corollary 7.1):

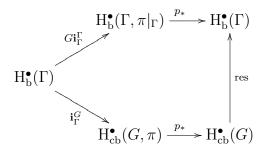
Indeed, if A, B are any two finitely generated amenable groups, then the argument given in the proof of Theorem 2.27 above shows that the free product A\*B is ME to a free group on two generators. Thus A\*B is in  $\mathcal{C}$  by Corollary 7.6. In other words, it remains only to justify that there is a continuum of non-isomorphic finitely generated torsion-free amenable groups. This is true even for *soluble* groups; P. Hall proves in [Ha] that there are uncountably many (in fact, a continuum of) non-isomorphic groups G on two generators with [G'', G] = 1. A close examination of his proof (Theorem 6 and pages 433–435 in [Ha]) shows that G can be chosen torsion-free.

Proof of Proposition 7.12. Let G be a rank one Lie group. Since all lattices in G are ME to each other, it is enough by Corollary 7.6 to prove the statement for some lattice  $\Gamma < G$ . We are therefore free to choose  $\Gamma$  cocompact in G, which implies that  $\Gamma$  is hyperbolic in the sense of Gromov and therefore the bounded cohomology with trivial coefficients  $H_b^2(\Gamma)$  is infinite-dimensional [EpF].

We now consider the quasi-regular G-representation  $\pi = L^2(G/\Gamma)$  which splits as  $\mathbf{1} \oplus \pi_0$ , where  $\pi_0$  denotes the kernel of the orthogonal projection  $p: \pi \to \mathbf{1}$  to the constants. Observe that the induced  $\Gamma$ -representation  $G\mathbf{I}_{\Gamma}^{\Gamma}\mathbf{1}$  as defined in Section 4.1 through the ME self-coupling of  $\Gamma$  given by the right and left  $\Gamma$ -actions on G is the restriction  $\pi|_{\Gamma}$  of  $\pi$  to  $\Gamma$ . In fact, in the present case very definition of cohomological induction  $G\mathbf{i}_{\Gamma}^{\Gamma}: H_b^{\bullet}(\Gamma) \to H_b^{\bullet}(\Gamma, G\mathbf{I}_{\Gamma}^{\Gamma}\mathbf{1})$  through this self-coupling (Section 4.2) coincides with the map

$$\mathrm{H}^{\bullet}_{\mathrm{b}}(\Gamma) \xrightarrow{\mathbf{i}_{\Gamma}^{G}} \mathrm{H}^{\bullet}_{\mathrm{cb}}(G,\pi) \xrightarrow{\mathrm{res}} \mathrm{H}^{\bullet}_{\mathrm{b}}(\Gamma,\pi|_{\Gamma})$$

wherein  $\mathbf{i}_{\Gamma}^{G}$  is the induction to continuous bounded cohomology  $\mathbf{H}_{cb}^{\bullet}$  as defined in [BM2],[M] and res the restriction map. Thus, we have a commutative diagram:



However, in degree two we have the following additional information: (i) the space  $\mathrm{H}^2_{\mathrm{cb}}(G)$  has dimension at most one (Lemma 6.1 in [BM1], compare [BM2]); (ii)  $G^{\mathbf{i}_{\Gamma}^{\Gamma}}$  is injective (Theorem 4.4). It follows that  $\mathrm{H}^2_{\mathrm{b}}(\Gamma, \pi_0|_{\Gamma})$  is infinite-dimensional (bounded cohomology is additive in the coefficients [M, 8.2.10]). This finishes the proof because  $\pi_0$  is a mixing representation by the Howe-Moore theorem.

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