

# GELFAND PAIRS ADMIT AN IWASAWA DECOMPOSITION

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**ABSTRACT.** Every Gelfand pair  $(G, K)$  admits a decomposition  $G = KP$ , where  $P < G$  is an amenable subgroup. In particular, the Furstenberg boundary of  $G$  is homogeneous.

Applications include the complete classification of non-positively curved Gelfand pairs, relying on earlier joint work with Caprace, as well as a canonical family of pure spherical functions in the sense of Gelfand–Godement for general Gelfand pairs.

Let  $G$  be a locally compact group. The space  $\mathcal{M}^b(G)$  of bounded measures on  $G$  is an algebra for convolution, which is simply the push-forward of the multiplication map  $G \times G \rightarrow G$ .

**Definition.** Let  $K < G$  be a compact subgroup. The pair  $(G, K)$  is a **Gelfand pair** if the algebra  $\mathcal{M}^b(G)^{K, K}$  of bi- $K$ -invariant measures is commutative.

This definition, rooted in Gelfand’s 1950 work [14], is often given in terms of algebras of *functions* [12]. This is equivalent, by an approximation argument in the narrow topology, but has the inelegance of requiring the choice (and existence) of a Haar measure on  $G$ .

Examples of Gelfand pairs include notably all connected semi-simple Lie groups  $G$  with finite center, where  $K$  is a maximal compact subgroup. Other examples are provided by their analogues over local fields [18], and non-linear examples include automorphism groups of trees [23], [2].

All these “classical” examples also have in common another very useful property: they admit a *co-compact amenable subgroup*  $P < G$ . In the semi-simple case,  $P$  is a minimal parabolic subgroup. Moreover, the Iwasawa decomposition implies that  $G$  can be written as  $G = KP$ . This note shows that this situation is not a coincidence:

**Theorem.** *Let  $(G, K)$  be a Gelfand pair. Then  $G$  admits a co-compact amenable subgroup  $P < G$  such that  $G = KP$ .*

The mere existence of  $P$  has a number of strong consequences discussed below. Most immediate is that  $G$  belongs to the exclusive club whose members boast a homogeneous Furstenberg boundary:

**Corollary 1.** *Choose a maximal subgroup  $P < G$  as in the Theorem.*

*Then the Furstenberg boundary of  $G$  is the homogeneous space  $\partial G = G/P \cong K/(K \cap P)$ .*

*In particular,  $P$  is unique up to conjugacy.*

Another general consequence is that  $G$  is *exact* in the sense of  $C^*$ -algebras [20, §7.1].

**Remark.** The proof of the Theorem is easy. What surprises us (besides the fact that it went unnoticed during decades of harmonic analysis on Gelfand pairs) is that the unique group  $P$  of Corollary 1 is obtained by purely existential methods. Indeed, the author is unaware of a constructive proof — or even of a heuristic based on the classical Iwasawa decomposition, explaining  $(G, K) \mapsto P$ .

We next derive a more geometric illustration of how consequential the existence of  $P$  is. The above classical examples of Gelfand pairs are all **CAT(0) groups** in the sense that they occur as co-compact isometry groups of non-positively curved spaces: either *Riemannian symmetric spaces* or

*Euclidean buildings.* General CAT(0) groups constitute a much more cosmopolitan category populated by all sorts of exotic spaces hailing from combinatorial group theory, Kac–Moody theory, etc. Using the “indiscrete Bieberbach theorem” established with P.-E. Caprace [9], the Theorem of this note leads to a complete classification of CAT(0) Gelfand pairs:

**Corollary 2.** *Let  $(G, K)$  be a Gelfand pair and assume that  $G < \text{Isom}(X)$  acts co-compactly on a geodesically complete locally compact CAT(0) space  $X$ .*

*Then  $X$  is a product of Euclidean spaces, Riemannian symmetric spaces of non-compact type, Bruhat–Tits buildings and biregular trees.*

*In particular,  $G$  lies in a product of Gelfand pairs belonging to the classical sets of examples above.*

This statement contains for instance a result by Caprace–Ciobotaru [5], namely: let  $X$  be an irreducible locally finite thick Euclidean building. If  $G = \text{Aut}(X)$  (or any co-compact subgroup  $G < \text{Isom}(X)$ ) is a Gelfand pair for some compact  $K < G$ , then  $X$  is Bruhat–Tits.

Similarly, the statement contains some cases of results by Abramenko–Parkinson–Van Maldeghem [1] and Lécureux [21, §7], [22] establishing the non-commutativity of Hecke algebras associated to certain Coxeter groups. Namely, when Kac–Moody theory associates to them a locally finite thick building, Corollary 2 implies that the Hecke algebra can only be commutative in the affine case.

The “Iwasawa decomposition”  $G = KP$  is stronger yet than the existence of  $P$ . For instance, it is a key ingredient for results of Furman [13, Thm. 10] and it could shed some light on the *spherical dual* of  $G$ , see below. It should also impose further restrictions on the centraliser lattice in case  $G$  is a compactly generated simple group, see [10]. Already the existence of  $P$  implies that this lattice is at most countable: see [10, pp. 11–12] and use that  $G/P$  is metrisable in this setting.

We now contemplate some of the analytic legacy that the decomposition  $G = KP$  bestows upon a general Gelfand pair  $(G, K)$ . Following Gelfand and Godement [17], the fundamental building block of non-commutative Fourier–Plancherel theory is given by positive definite **spherical functions** on Gelfand pairs, namely continuous  $\varphi: G \rightarrow \mathbb{C}$  satisfying

$$\varphi(x)\varphi(y) = \int_K \varphi(xky) dk \quad \forall x, y \in G$$

where the integration is with respect to the unique Haar probability measure on  $K$ ; see also [11] and [26]. This is the abstract generalisation of addition formulas for special functions such as Legendre functions [25].

Here is how  $P$  enters the picture:

Let  $\nabla_P$  be the modular function of  $P$ , which is non-trivial unless  $G$  itself is amenable and  $G = P$ . Then  $\rho(kp) = \nabla_P(p)$  gives a well-defined continuous function  $\rho: G \rightarrow \mathbb{R}_{>0}$  when  $k \in K$ ,  $p \in P$  because  $\nabla_P$  vanishes on  $K \cap P$ . For every parameter  $s \in \mathbb{C}$ , define

$$\varphi_s(g) = \int_K \rho(g^{-1}k)^{\frac{1}{2}+is} dk.$$

In view of Corollary 1,  $\varphi_s$  is *actually canonically attached to the pair  $(G, K)$*  up to conjugation. On the other hand,  $\varphi_s$  is the matrix coefficient of the (projectively) unique  $K$ -fixed vector in a parabolically induced representation from  $P$ . In particular,  $\varphi_s$  is a pure positive definite spherical function on  $G$  for each real  $s$ .

This is classical for semi-simple groups, where  $\varphi_s$  above is the **Harish-Chandra formula**; the Theorem makes it available for general Gelfand pairs, as desired by Godement [16, §16]. Of course this only gives a principal series and suggests to investigate fully the characters of  $P$ .

*Proof of the Theorem and of Corollary 1.* We recall that an **affine  $G$ -flow** is a non-empty compact convex set  $C$  in some locally convex topological vector space over  $\mathbf{R}$ , endowed with a jointly continuous  $G$ -action preserving the affine structure of  $C$ . An affine flow is called **irreducible** if it does not contain any proper affine subflow. An argument due to Furstenberg implies that  $G$  admits an irreducible flow  $\Delta G$  which is **universal** in the sense that it maps onto every irreducible flow. Moreover,  $\Delta G$  is unique up to unique isomorphisms. It turns out that  $\Delta G$  is the simplex of probability measures  $\mathcal{P}(\partial G)$  over the Furstenberg boundary  $\partial G$  of  $G$ , and that this is actually one of the possible *definitions* of  $\partial G$ . For all this, we refer to [15].

We shall be more interested in the convex subset  $\mathcal{P}(G)$  of  $\mathcal{M}^b(G)$  consisting of the probability measures, as well as in the corresponding subset  $\mathcal{P}(G)^{K,K}$ . We note the following straightforward facts:

- $\mathcal{P}(G)$  is closed under the multiplication given by convolution.
- The monoid  $\mathcal{P}(G)$  contains  $G$  via the identification of points with Dirac masses.
- The normalised Haar measure  $\kappa$  of  $K$  is an idempotent belonging to  $\mathcal{P}(G)^{K,K}$ .
- $\mathcal{P}(G)^{K,K} = \kappa \mathcal{P}(G) \kappa$ ; it is a monoid with  $\kappa$  as identity.

By generalised vector-valued integration [4, IV§7.1], any affine  $G$ -flow  $C$  is endowed with an action of the monoid  $\mathcal{P}(G)$  which is affine in both variables. It will be crucial below that this action is moreover continuous for the variable in  $C$ . One way to see this is to check first that any  $\mu \in \mathcal{P}(G)$  induces a continuous map  $C \rightarrow \mathcal{P}(C)$  by push-forward on orbits, using that the  $G$ -action on  $C$  is equicontinuous over compact subsets of  $G$ . Then observe that the action of  $\mu$  is obtained by composing this map  $C \rightarrow \mathcal{P}(C)$  with the continuous barycenter map  $\mathcal{P}(C) \rightarrow C$ .

Since  $K$  is compact, it has a non-empty fixed-point set  $C^K$ ; better yet, the idempotent  $\kappa$  provides a continuous projection  $\kappa: C \rightarrow C^K$ . In particular, the monoid  $\mathcal{A} = \kappa \mathcal{P}(G) \kappa$  preserves the convex compact set  $C^K$ .

Only now do we use the assumption that we have a Gelfand pair: the monoid  $\mathcal{A}$  is commutative. Since  $\mathcal{A}$  acts by continuous operators, the Markov–Kakutani theorem therefore implies that  $\mathcal{A}$  fixes a point  $p$  in  $C^K$ . From now on, we assume that  $C$  is irreducible. The convex set  $\mathcal{P}(G)p$  is  $G$ -invariant and hence must be dense. It follows that  $\kappa \mathcal{P}(G)p$  is dense in  $C^K$ , but  $\kappa \mathcal{P}(G)p$  is  $\mathcal{A}p$  which is reduced to  $p$ . In conclusion, we have shown that  $K$  has a unique fixed point in  $C$ .

We now apply this to the case where  $C = \Delta G$  is the simplex of probability measures on  $\partial G$  and deduce that  $K$  fixes a unique such measure on  $\partial G$ . Since  $K$  is compact, every  $K$ -orbit supports an invariant measure: the push-forward of  $\kappa$ . This implies that  $K$  has a single orbit in  $\partial G$ . In particular,  $\partial G = G/P$  for some co-compact subgroup  $P < G$  and moreover  $G = KP$ .

Next, we observe that  $P$  is **relatively amenable** in  $G$ , which means by definition that every affine  $G$ -flow has a  $P$ -fixed point. Indeed, this property characterises the subgroups that fix a point in  $\Delta G$ : this follows from the universal property of  $\Delta G$ . This characterisation also implies that this  $P$  is already *maximal* relatively amenable. Indeed, if  $P' < G$  is relatively amenable and contains  $P$ , it also fixes a point in  $\Delta G$ ; this induces an affine  $G$ -map  $\mathcal{P}(G/P') \rightarrow \mathcal{P}(G/P)$ , which must be the identity by universality of  $\mathcal{P}(G/P) = \Delta G$ .

We recall that relative amenability is equivalent to amenability in a wide class of ambient locally compact groups  $G$  including all exact groups, but it is only *a posteriori* that the Theorem implies that  $G$  is exact, see [20, §7.1]. In the locally compact setting, it is still an open question to exhibit

an example where the weaker relative notion does not coincide with amenability [8]. In the co-compact case, however, we can settle the question with the Proposition below and conclude that  $P$  is amenable. Thus the Proposition will complete the proof.

The following statement is a very basic case of much more general results by Andy Zucker [27, Thm. 7.5]; the elementary proof below is inspired by reading his preprint.

**Proposition.** *Let  $G$  be a Hausdorff topological group and  $P < G$  a closed subgroup such that  $\partial G = G/P$ . Then  $P$  is amenable.*

**Warning.** A subgroup of  $G$  fixing a point in  $\partial G$  is not necessarily amenable. However, in the locally compact case and assuming  $\partial G$  homogeneous, this follows from the Proposition because amenability of locally compact groups passes to subgroups.

*Proof of the Proposition.* We know that  $P$  is co-compact and relatively amenable. The latter is equivalent to the existence of a  $P$ -invariant mean  $\mu$  on the space  $C_{\text{ru}}^b(G)$  of right uniformly continuous bounded functions (cf. Thm. 5 in [8]). It suffices to show that  $\mu$  descends to  $C_{\text{ru}}^b(P)$ , viewed as a quotient of  $C_{\text{ru}}^b(G)$  under restriction (by Katetov extension [19]). Let thus  $f \in C_{\text{ru}}^b(G)$  be any map vanishing on  $P$ ; we need to show  $\mu(f) = 0$  and can assume  $f \geq 0$ . Given  $\epsilon > 0$  there is an identity neighbourhood  $U$  in  $G$  such that  $f \leq \epsilon$  on  $UP$ . By Urysohn's lemma in  $G/P$ , there is  $h \in C(G/P)$  vanishing on a neighbourhood of  $P$  but taking constant value  $\|f\|_\infty$  outside  $UP$ . Viewing  $h$  as an element of  $C_{\text{ru}}^b(G)$ , we thus have  $f \leq \epsilon \mathbf{1}_G + h$ . We now claim  $\mu(h) = 0$ , which finishes the proof since  $\epsilon$  is arbitrary. The claim follows from the fact that  $\mu$  is mapped to a  $P$ -invariant probability measure on  $G/P$  under the inclusion of  $C(G/P)$  in  $C_{\text{ru}}^b(G)$ . Indeed, the only  $P$ -invariant probability measure on  $G/P \cong \partial G$  is the Dirac mass at  $P$  by strong proximality of  $P$  on  $\partial G$ , see [15, II.3.1].  $\square$

*Proof of Corollary 2.* Consider  $G < \text{Isom}(X)$  as in the statement. We first recall that  $X$  is *minimal* in the sense that it does not contain a closed convex  $G$ -invariant proper subset, see [6, 3.13]. Next, we recall that general splitting results (1.9 together with 1.5(iii) in [6]) allow us to reduce to the case where  $X$  has no Euclidean factor. In any Gelfand pair,  $G$  is unimodular [24, 24.8.1]; this, together with the elements collected thus far, allows us to apply Theorem M in [7]. That result states that  $G$  has no fixed point at infinity. On the other hand, our Theorem above provides a subgroup  $P < \text{Isom}(X)$  acting co-compactly on  $X$ . We are now in position to apply the indiscrete Bieberbach theorem [9, Thm. B], which identifies  $X$  with a product of classical spaces as desired.  $\square$

We now justify our claims concerning the functions  $\varphi_s$  on  $G$ . Since  $G$  is unimodular (reference above), Weil's integration formula [3, VII§2.5] implies that the push-forward of  $\kappa$  on  $G/P$  has a Radon–Nikodým cocycle given at  $(g, xP)$  by  $\rho(g^{-1}x)/\rho(x)$ . Therefore, the unitary induction  $\pi_s$  of the character  $\nabla_P^{is}$  is given on various spaces of functions  $f$  on  $G/P$  by

$$(\pi_s(g)f)(xP) = f(g^{-1}xP) \left( \frac{\rho(g^{-1}x)}{\rho(x)} \right)^{\frac{1}{2} + is}.$$

The only  $K$ -invariant vectors  $v$  are constant functions on  $G/P$  and hence the associated matrix coefficient  $\varphi_s(g) = \langle \pi_s(g)v, v \rangle$  is uniquely defined once  $v$  has unit norm. The fact that  $\varphi_s$  is pure and spherical (for  $s \in \mathbf{R}$ ) now follows from the general theory of Gelfand pairs, specifically I.II.6 and I.III.2 in [12].

**Remark.** A part of the proof of the Theorem is reminiscent of the fact that any irreducible *unitary* representation of  $G$  has at most a one-dimensional subspace of  $K$ -fixed vectors, a fact that actually characterises Gelfand pairs. We recall that the corresponding statement fails for *real* Hilbert spaces, whereas our affine flows are always over the reals.

**Acknowledgements.** I am grateful to Andy Zucker for sending me his preprint and to Pierre-Emmanuel Caprace for several insightful comments on a preliminary version.

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